

10/12/2018

# Open Access negotiations in the UK

View from the UK's negotiation team

Heartland Archery <https://www.flickr.com/photos/jrladia/7245527662>

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# Progress at a cost

## OA five years on from the Finch Report

- » 37% of UK outputs (vs. 25% globally) are freely available immediately on publication, either through Gold or Green OA
- » The proportion of UK-authored articles published OA rose from 12% in 2012 to 30% in 2016, a sustained annual growth rate of over 30%
- » The global proportion of publicly accessible articles after 12 months via Gold or Green OA rose from 25% to 32% between 2012 and 2016; the UK proportion rose from 37% to 54%

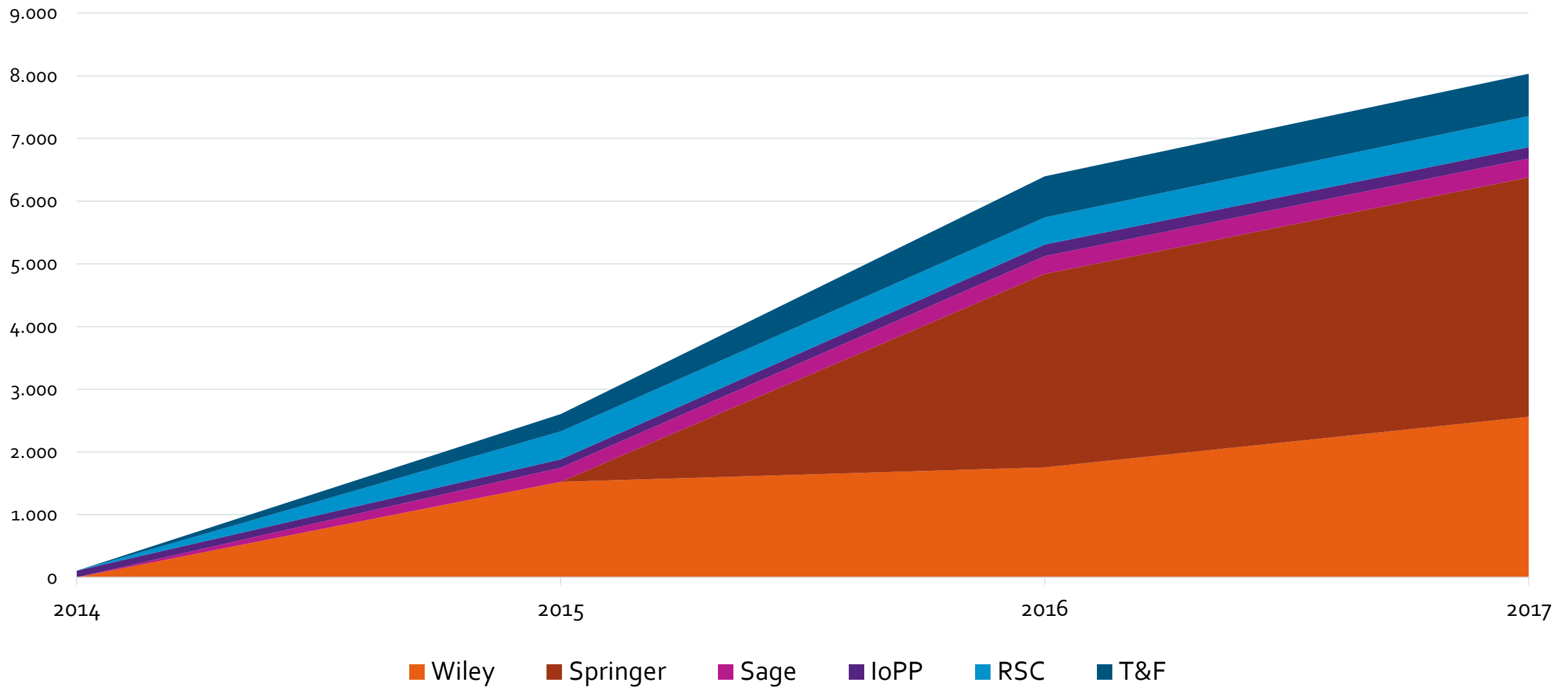
- » Average APC increased in cost by 16% between 2013 and 2016
- » Average cost of an APC is over 25% higher in hybrid OA versus Pure Gold OA journals
- » Between 2013 and 2016 APC expenditure has at least quadrupled
- » Top 10 publishers make up 77% of spend
- » 70% of UK OA articles are published in hybrid journals yet subscription expenditure has continued to grow

# Negotiations and OA in the UK

|                                | 2012 | 2013       | 2014       | 2015       | 2016       | 2017        | 2018        |
|--------------------------------|------|------------|------------|------------|------------|-------------|-------------|
| Cambridge University Press     |      | 38         | 37         | 48         | 71         | 75          | 72          |
| Oxford University Press        |      | 36         | 55         | 61         | 89         | 95          | 94          |
| Nature Publishing Group        |      | 40         | 66         | 46         | 73         | 80          | 85          |
| Springer (Now Springer Nature) |      | 53         | 53         | 53         | 90         | 92          | 94          |
| Taylor & Francis               |      | 53         | 59         | 80         | 85         | 95          | 97          |
| Institute of Physics           |      | 53         | 34         | 56         | 59         | 60          | 56          |
| Royal Society of Chemistry     |      | 39         | 39         | 63         | 61         | 57          | 60          |
| Elsevier                       |      | 139        | 139        | 139        | 139        | 153         | 153         |
| Wiley                          |      | 104        | 112        | 124        | 127        | 127         | 131         |
| Sage                           |      | 66         | 66         | 66         | 111        | 111         | 114         |
| ACS                            |      | 65         | 65         | 65         | 79         | 80          | 79          |
| <b>TOTAL HEIs</b>              |      | <b>686</b> | <b>725</b> | <b>801</b> | <b>984</b> | <b>1025</b> | <b>1035</b> |

|  |  |  |  |  |
|--|--|--|--|--|
|  Prepayment scheme |  Offset or discount scheme |  RSC Read and Publish |  Elsevier prepayment scheme |  No offset or support for Green |
|--|--|--|--|--|

# Articles covered by OA negotiations



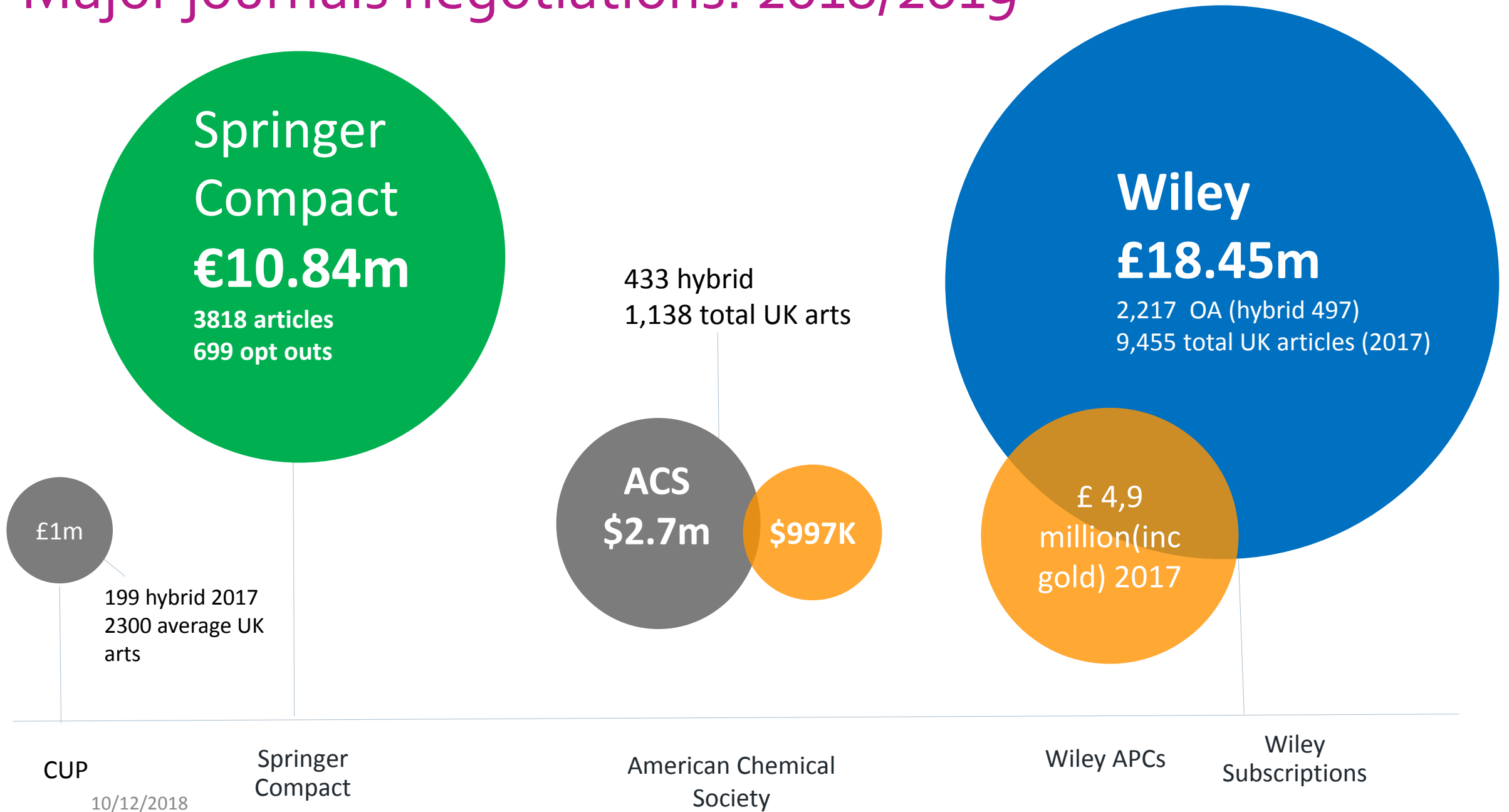
# Too slow, too expensive

## Evolution of the negotiations



- » Agreements must support a transition to open access
  - › Rapidly increase the volume of UK articles made OA on publication
- » Costs for reading and publishing must be sustainable and affordable.
- » Improved workflows and administration for both authors and institutions
- » More exacting service level agreements - penalties in the event of reduced performance
- » Options to recognise the diversity of our consortium
  - › Including provisions for effective green OA and non-publishing institutions

# Major journals negotiations: 2018/2019



# Plan S and UK negotiations

## Impetus and alignment

- » Agreements must be transitional
  - › Enable the UK to publish 100% of its UK research output OA on publication
- » Agreements must constrain costs
  - › Transition must occur within similar budget envelope
- » Agreements must aid compliance with funder mandates
  - › To support models other than Gold OA
- » Agreements must be transparent
- » Agreements must support improvements in service and workflow for authors and administrators

# Status of negotiations in December 2018

- » The status quo is not sustainable
- » Stop seeing additional APC revenue as minimum to be expected
- » Stop trying to pass all risks of transition to institutions
- » If you can make a significant amount of articles available “bronze OA” with no fee, stop insisting all UK output requires a fee
- » If your statements in support of a transition to open access are serious then don't present proposals which penalise early adopters and disincentivise other countries from a transition

**Thank you**

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