A guide to implementing transformative agreement workflows

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BACKGROUND:
Globally, transformative agreements are being used as a way for the research community, academic libraries and scholarly publishers to work together to find a financially viable path to transition to an open access publishing model.

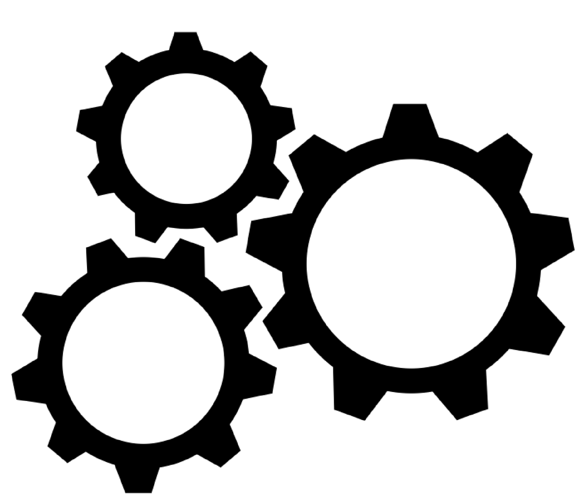
Relative to Europe, Australia is new to negotiating and implementing transformative agreements.

UNSW Library is actively seeking inroads to convert Read Only (paywalled subscriptions) agreements to transformative agreements, thereby furthering aligning the UNSW Sydney Open Access policy and Plan S, while increasing the reach and impact of research.

UNSW Library implemented its first pilot transformative agreement in 2020.

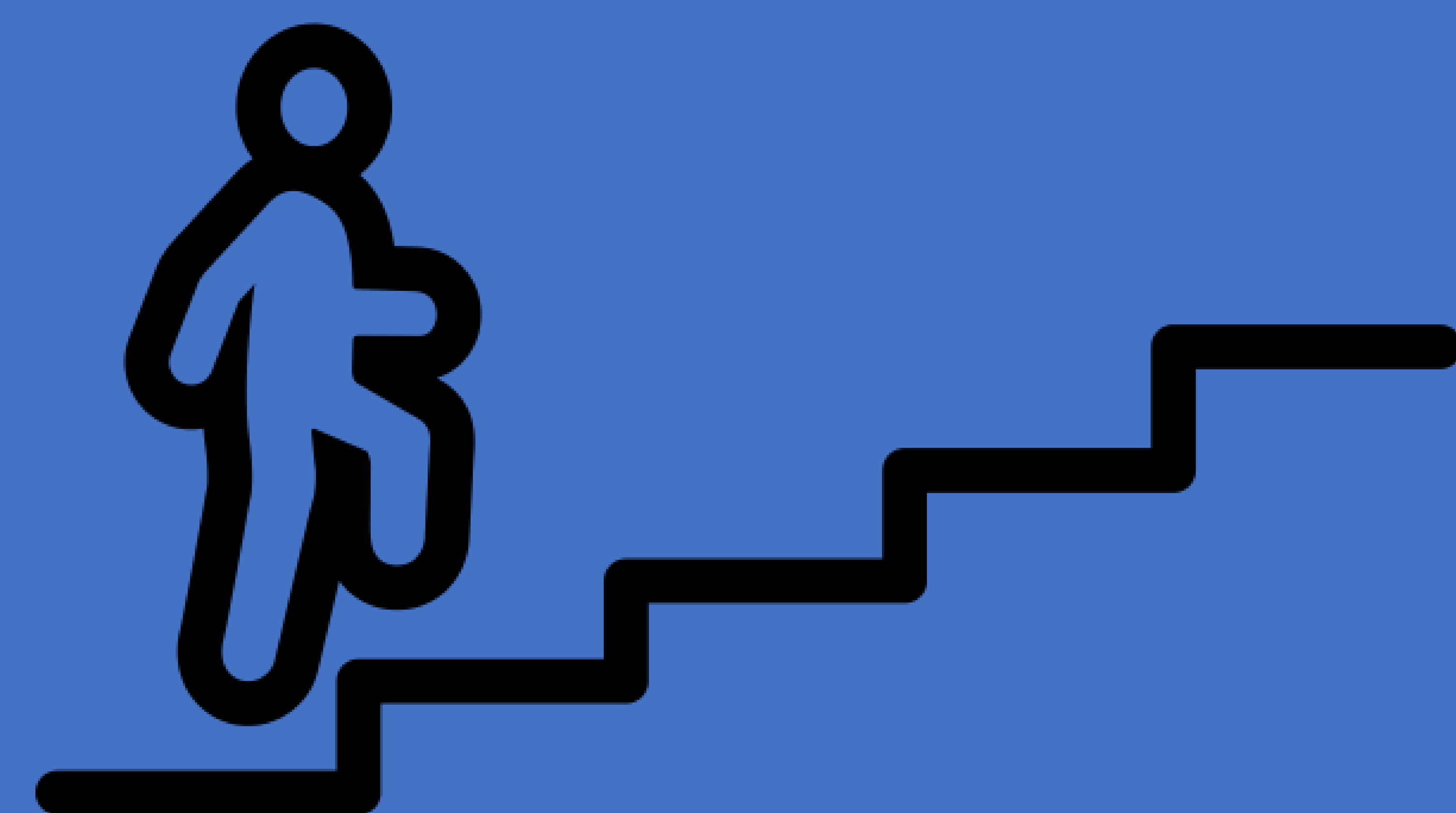
UNSW Sydney Transformative Agreements subject guide

UNSW Sydney Open Access institutional repository: UNSWorks



Implementing transformative agreement workflows.

Five tips to get you started.



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What to consider when implementing transformative agreement workflows

1. **What new workflows and processes are needed?** What outputs are included in the agreement? How will the published work be deposited to the institutional repository? What systems do staff need access to? Can anything be automated?

2. **What authors are eligible to participate in the agreement?** This is not always clear cut and can vary between institutions. How does your institution define who is a researcher at your institution? Can conjoints and adjuncts participate?

3. **How can eligible works be easily and consistently identified by the publisher?** Can your institution provide a list of identifying email addresses and/or organisation identifiers such as a Ringgold ID to the publisher?

4. **What reports do you need going forward to monitor the agreement?** Consider what information you want included and the frequency of reports. Can you create a report or access a reporting dashboard?

5. **What communication channels need to be established between the institution, the publisher and eligible authors?** Effective communication channels are essential to ensure a successful implementation. Who will be the main contact for the Library? Do academic library staff need regular meetings with the publisher? Who is responsible for communicating with authors?

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