



## SESSION 1

# Transformative agreements: progress and innovations, assessments and next steps

# Transformative agreements: progress and innovations, assessments and next steps

## What comes next? An OA2020 Workshop

Leaders of national-level library consortia from 16 countries

## OA2020 Working Group on Transformative Agreement Assessment

- Susanne Aerni, Consortium of Swiss Academic Libraries
- Adam Der, Max Planck Digital Library
- Jack Hyland, IReL
- Rita Pinhasi, University of Vienna
- Timo Vilén, FinELib consortium
- Mathew Willmott, California Digital Library (University of California)

GI

## FINAL STATEMENT

### 14th Berlin Open Access Conference

## Agreements

*We are all committed to authors retaining their copyrights,  
We are all committed to complete and immediate open access,  
We are all committed to accelerating the progress of open access through **transformative agreements** that are temporary and transitional, with a shift to full open access within a very few years. **These agreements should, at least initially, be cost-neutral**, with the expectation that economic adjustments will follow as the markets transform.*

*Publishers are expected to work with all members of the global research community to effect complete and immediate open access according to this statement.*



<https://oa2020.org/b14-conference/final-statement/>



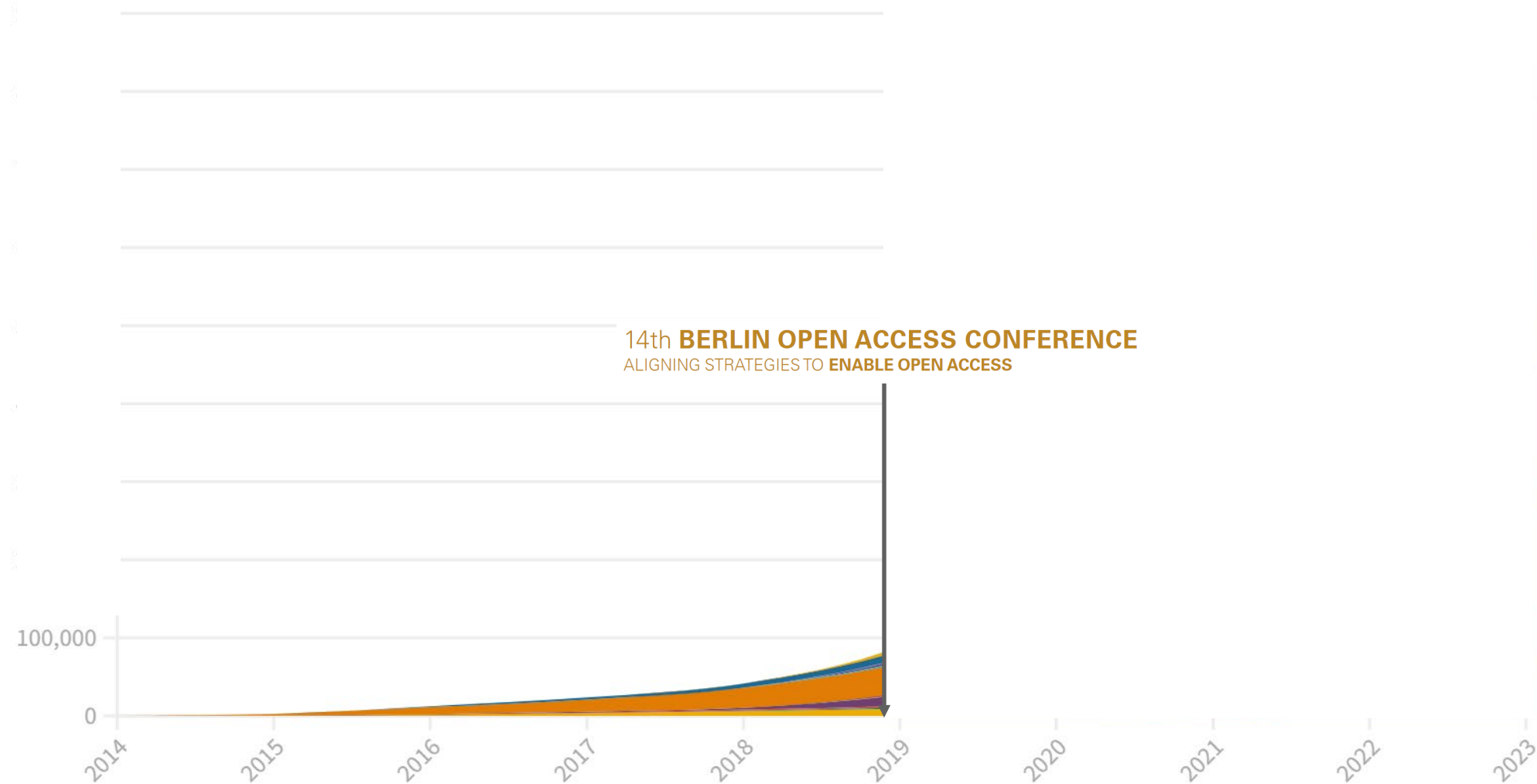
# Global consensus for Transformative Agreements



Cumulative sum of open access articles enabled by transformative agreements globally. Source: [ESAC MarketWatch](#)



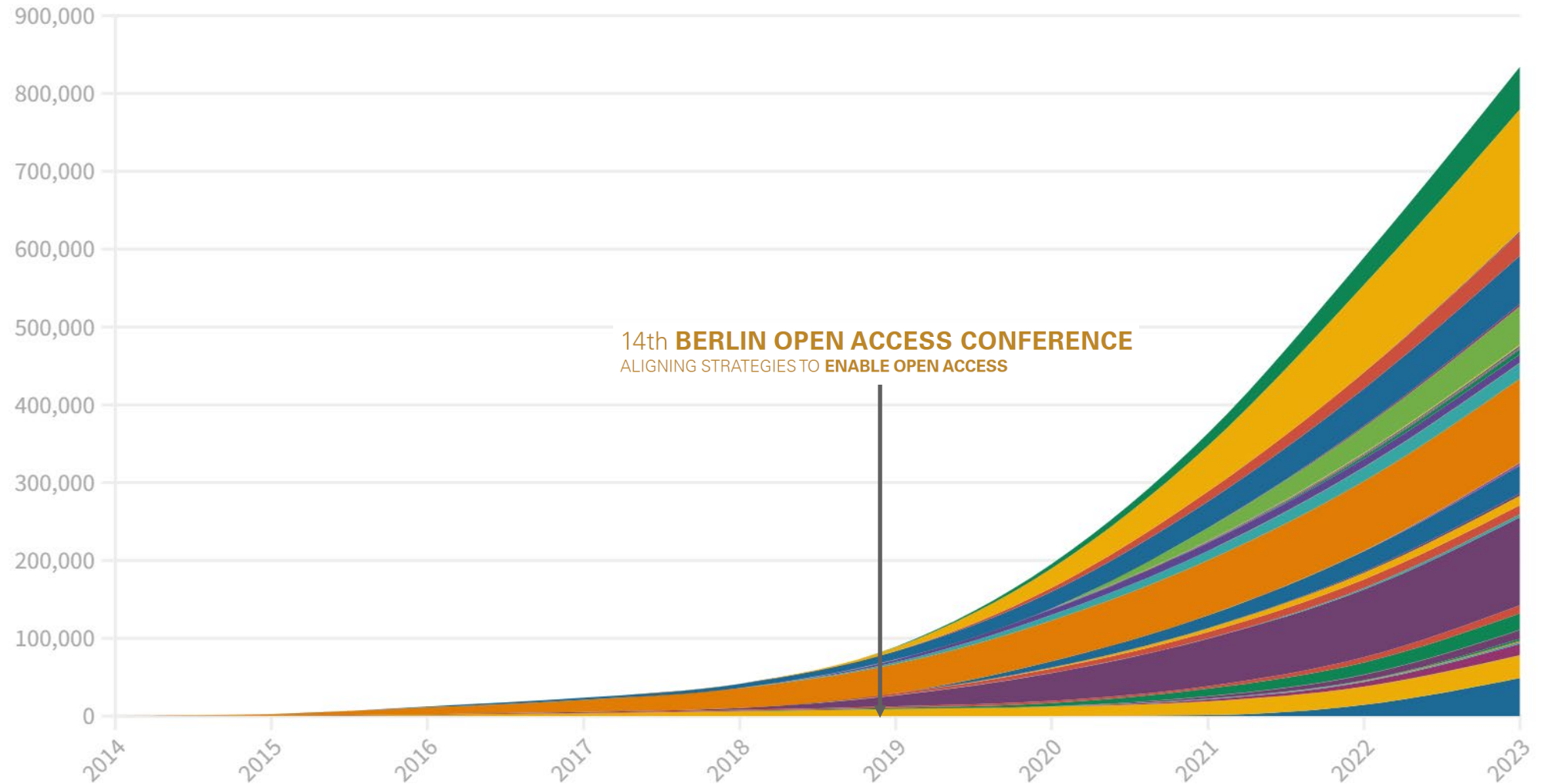
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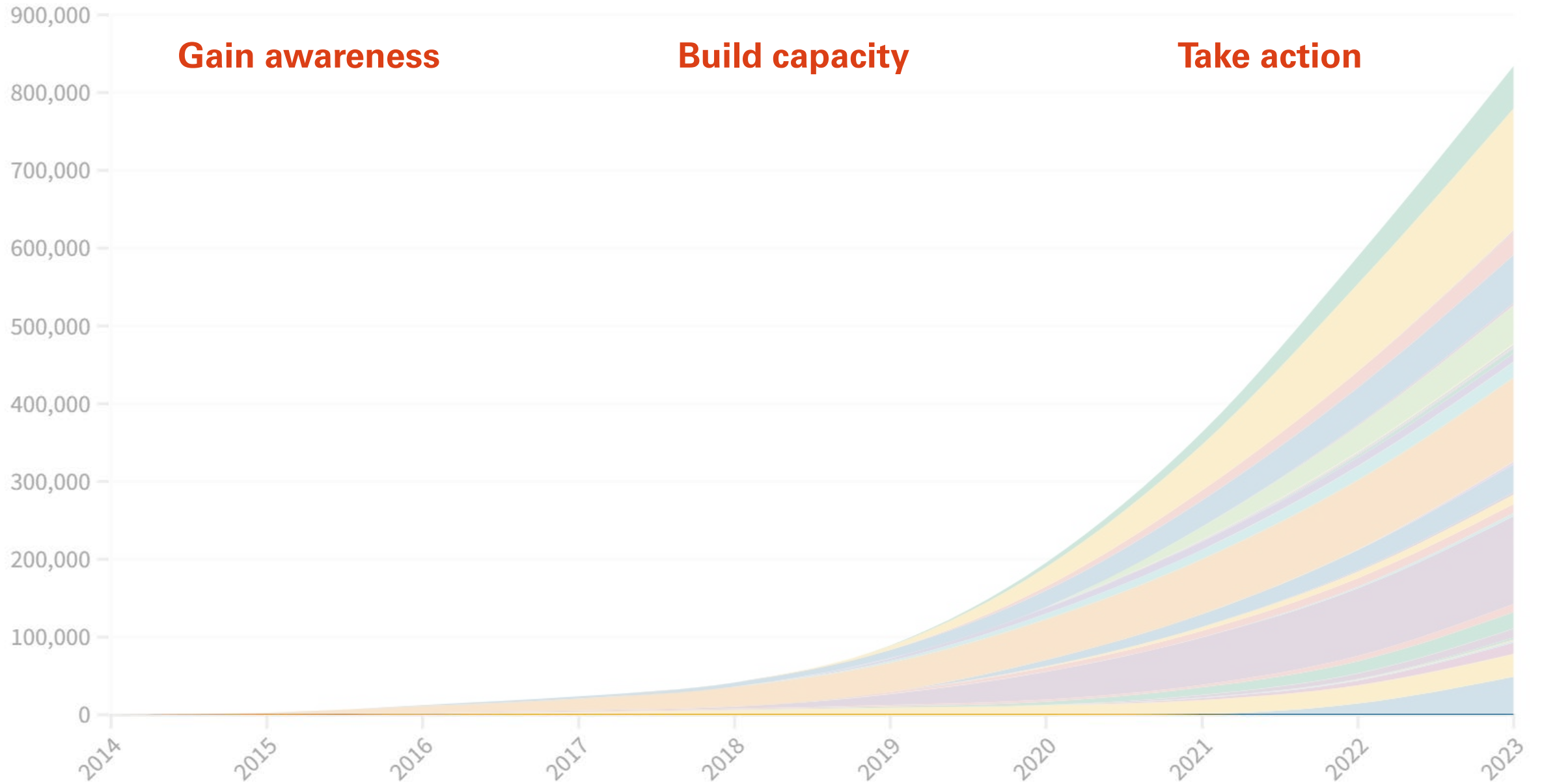
# Global consensus for Transformative Agreements



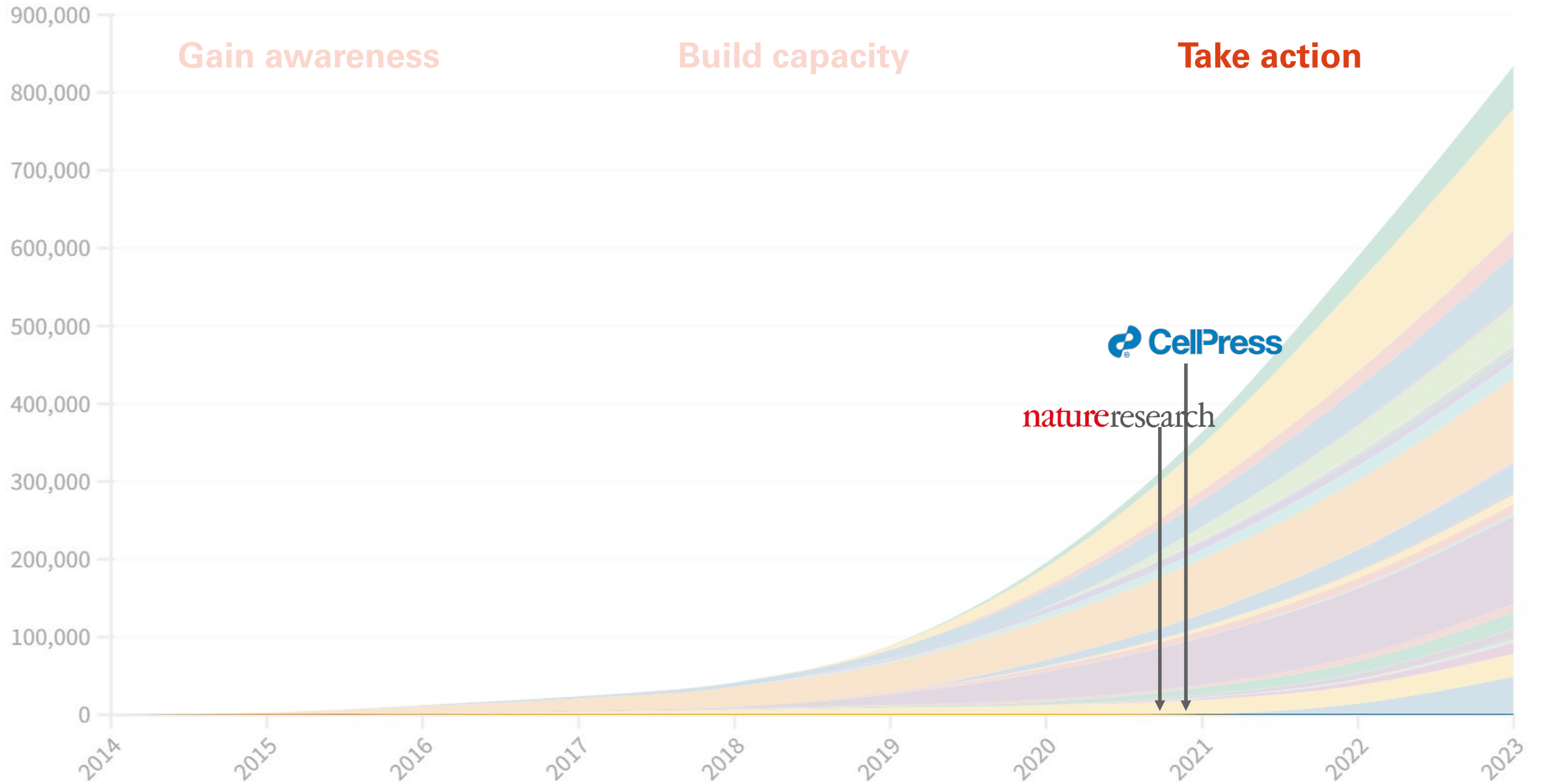
Cumulative sum of open access articles enabled by transformative agreements globally. Source: [ESAC MarketWatch](#)



# Together for transformation

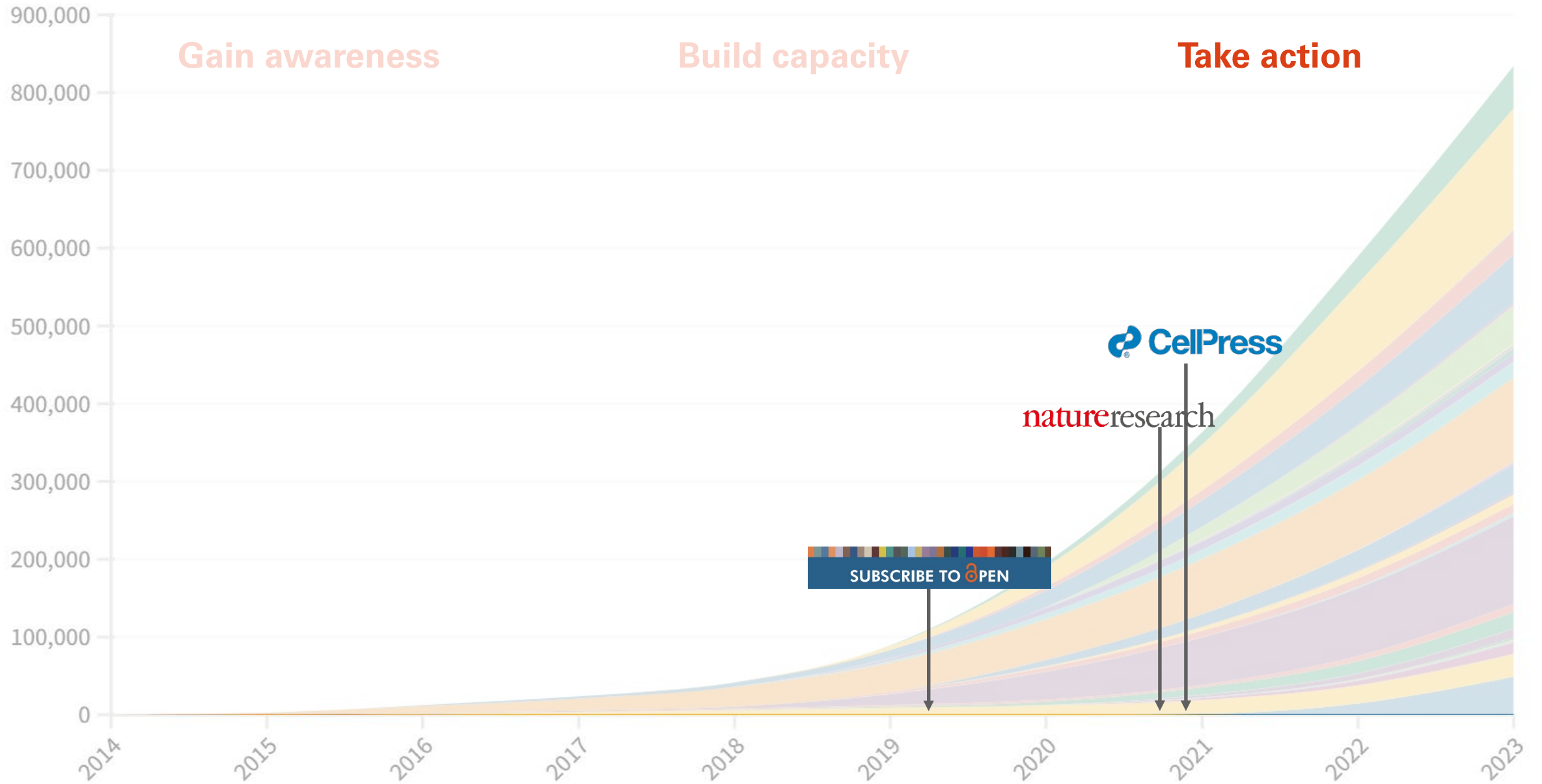


# High-rejection-rate journals going hybrid

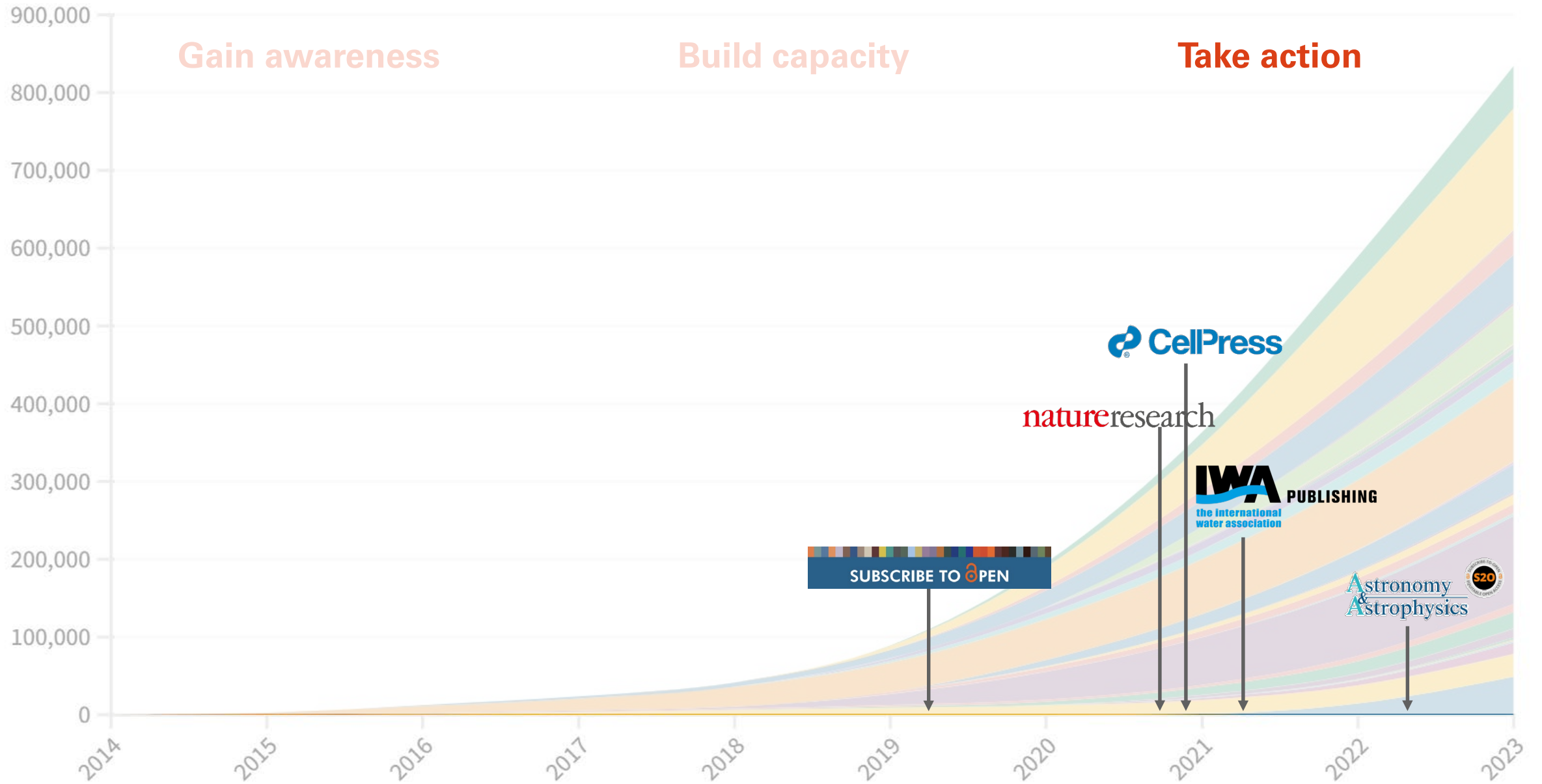




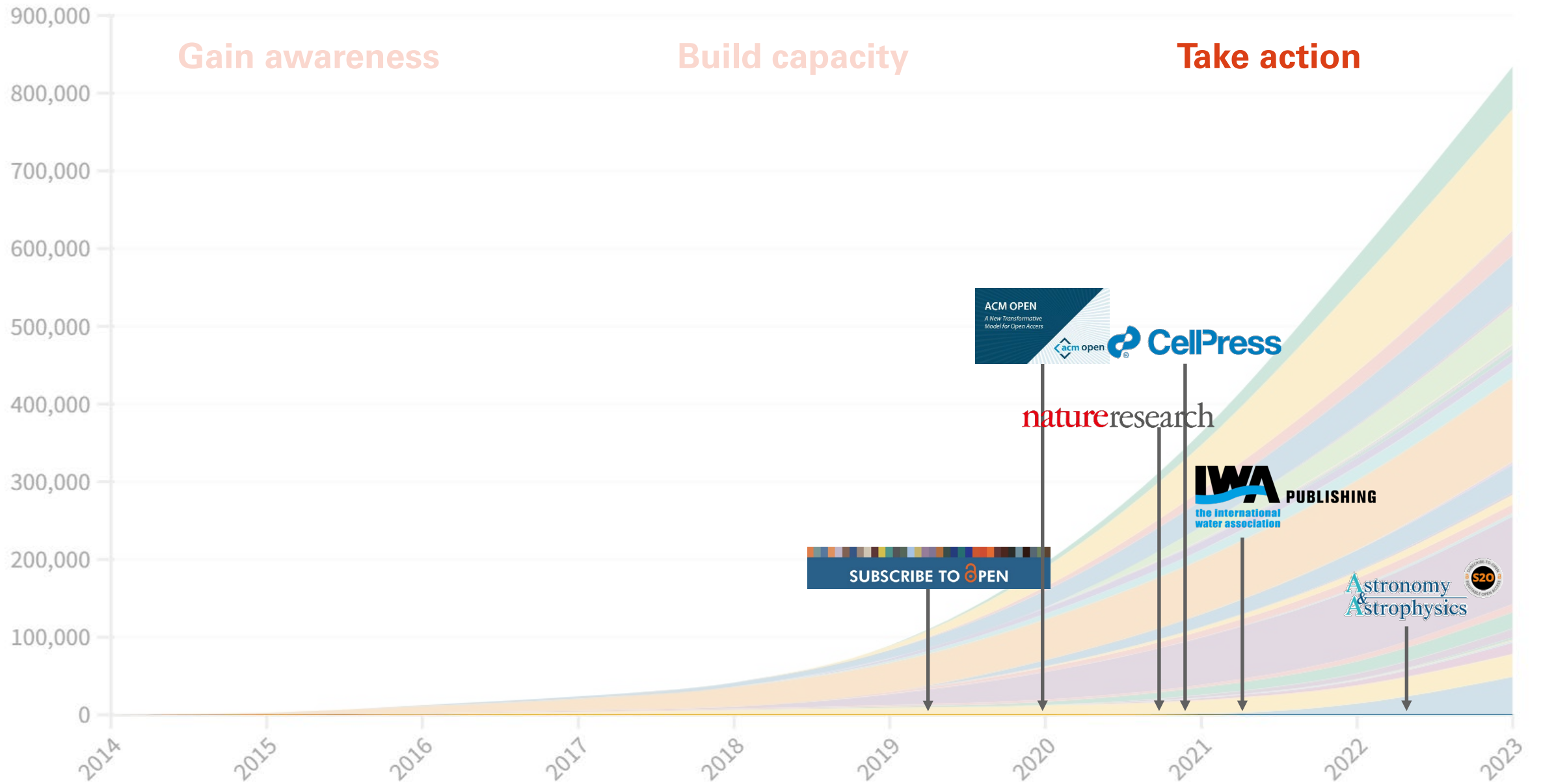
# New models: S20



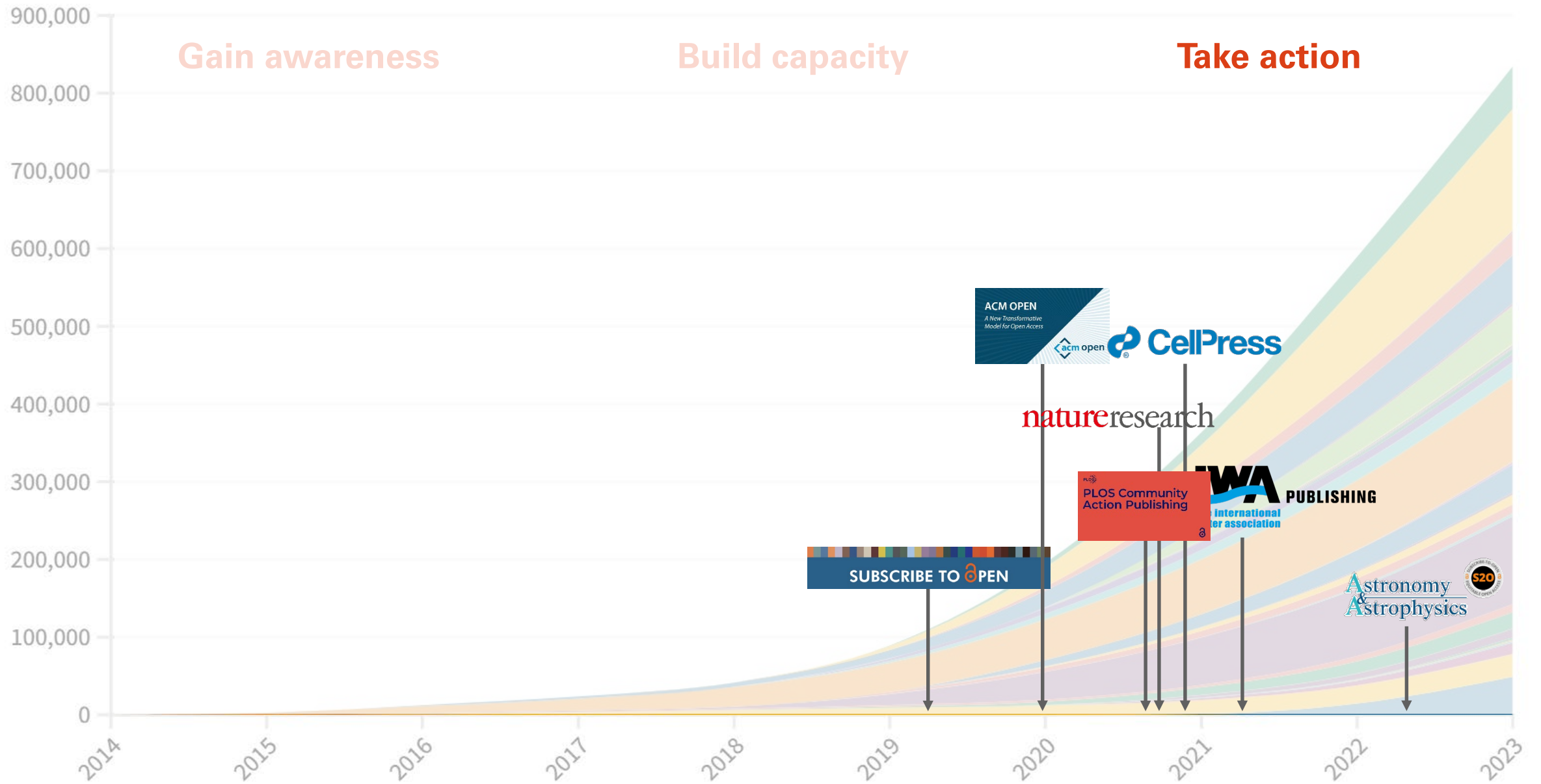
# New models: S20



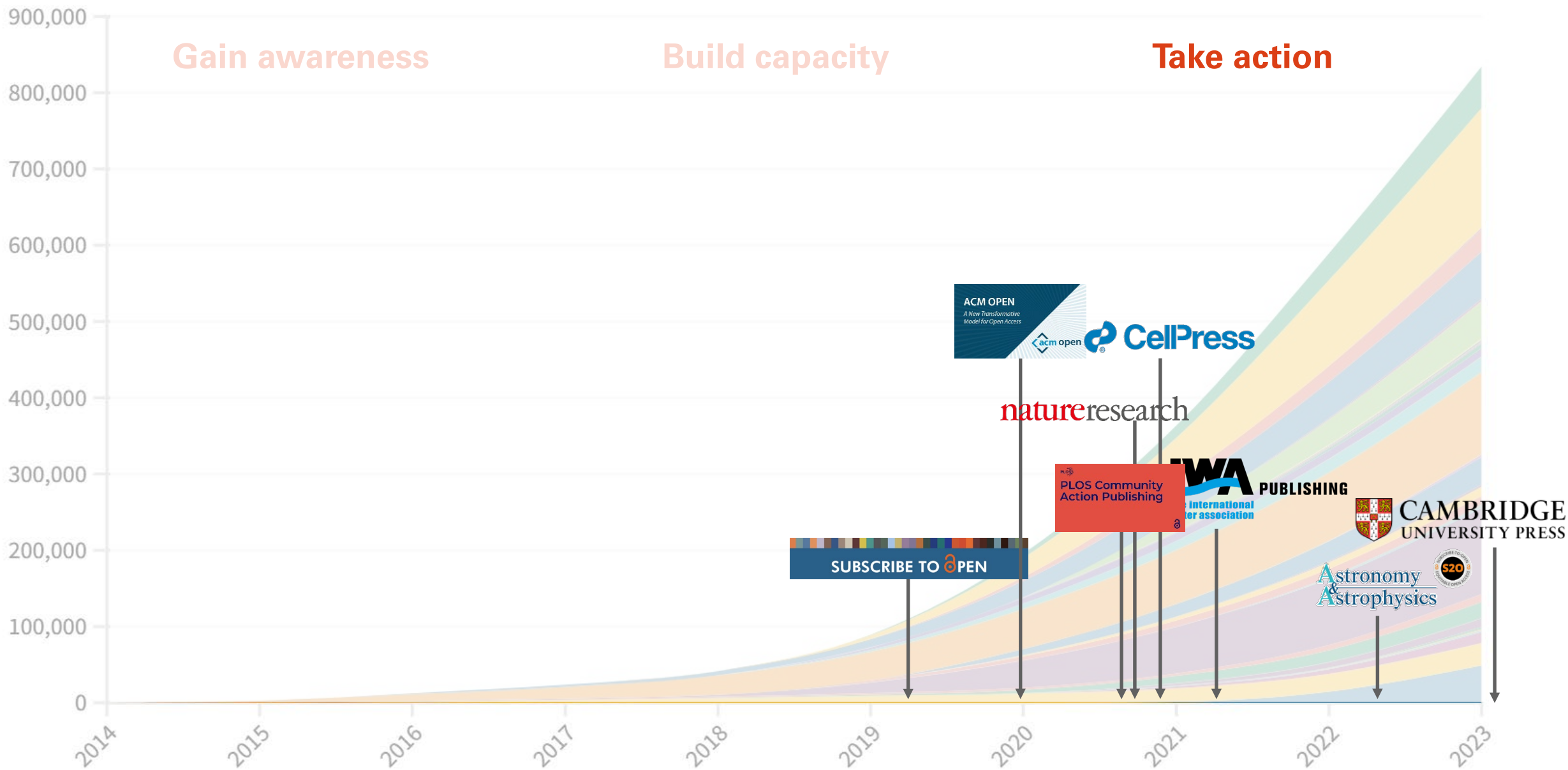
# New models: ACM Open



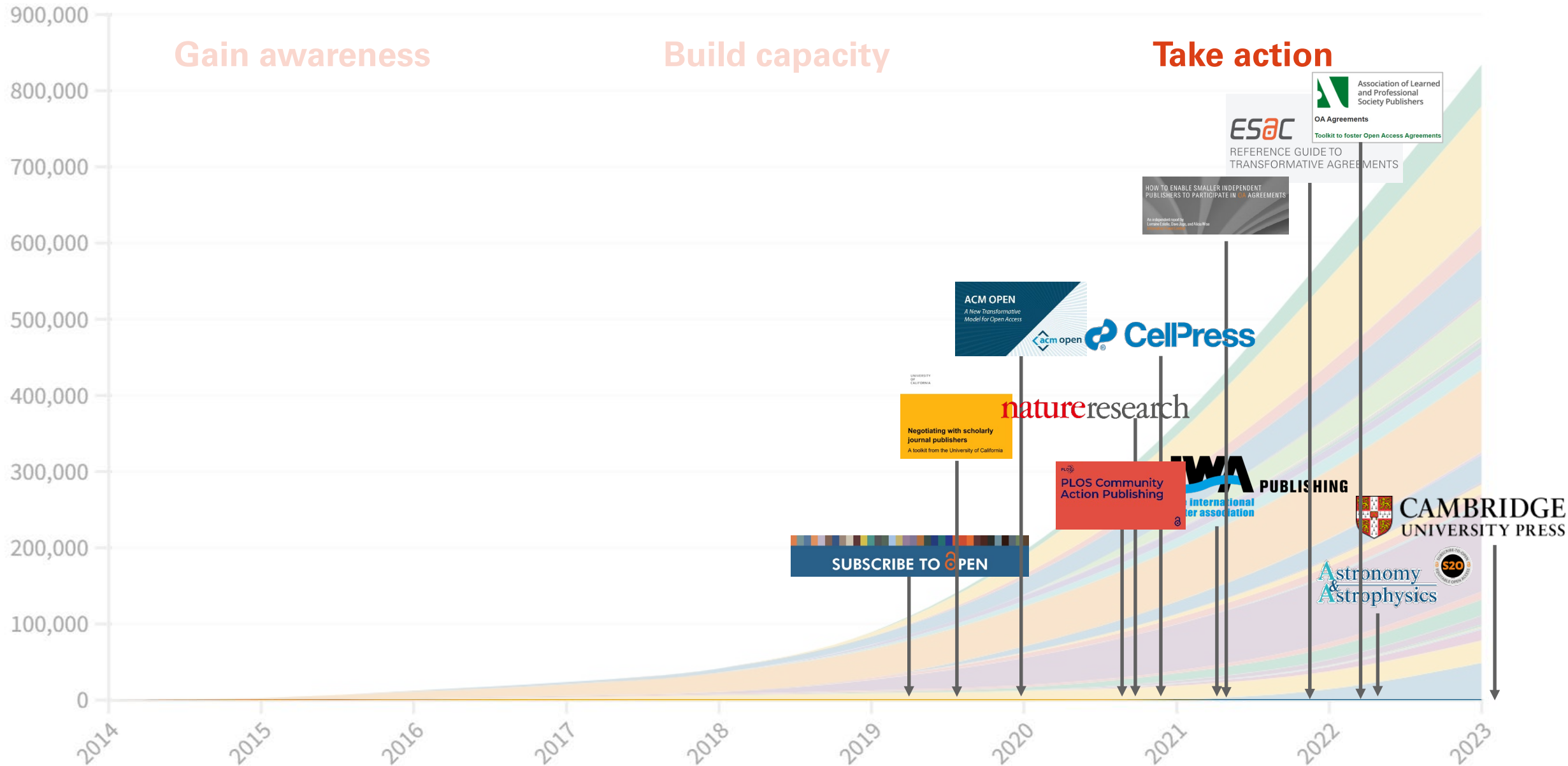
# PLOS Community Action Publishing



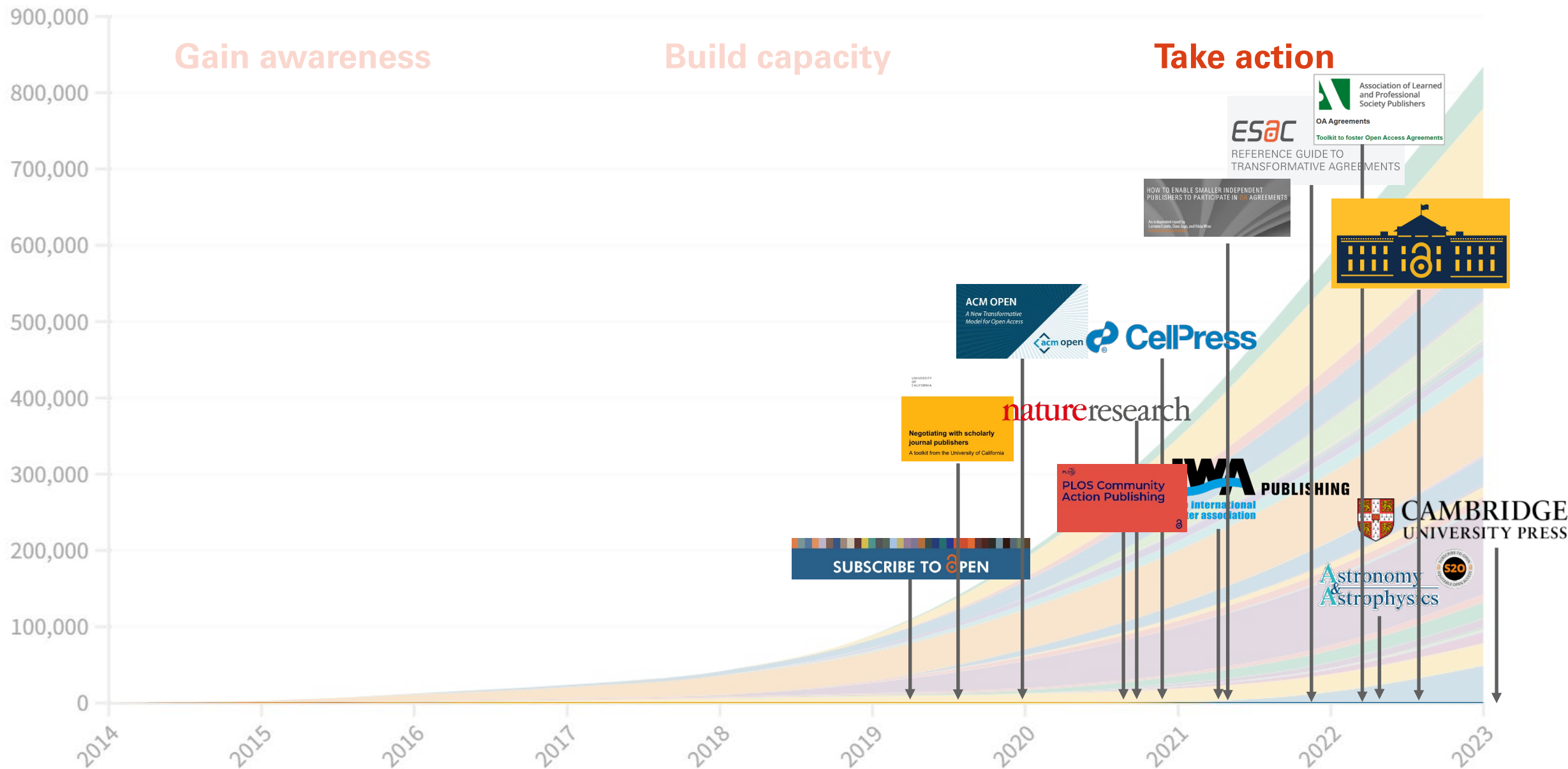
# CUP Open Equity Initiative



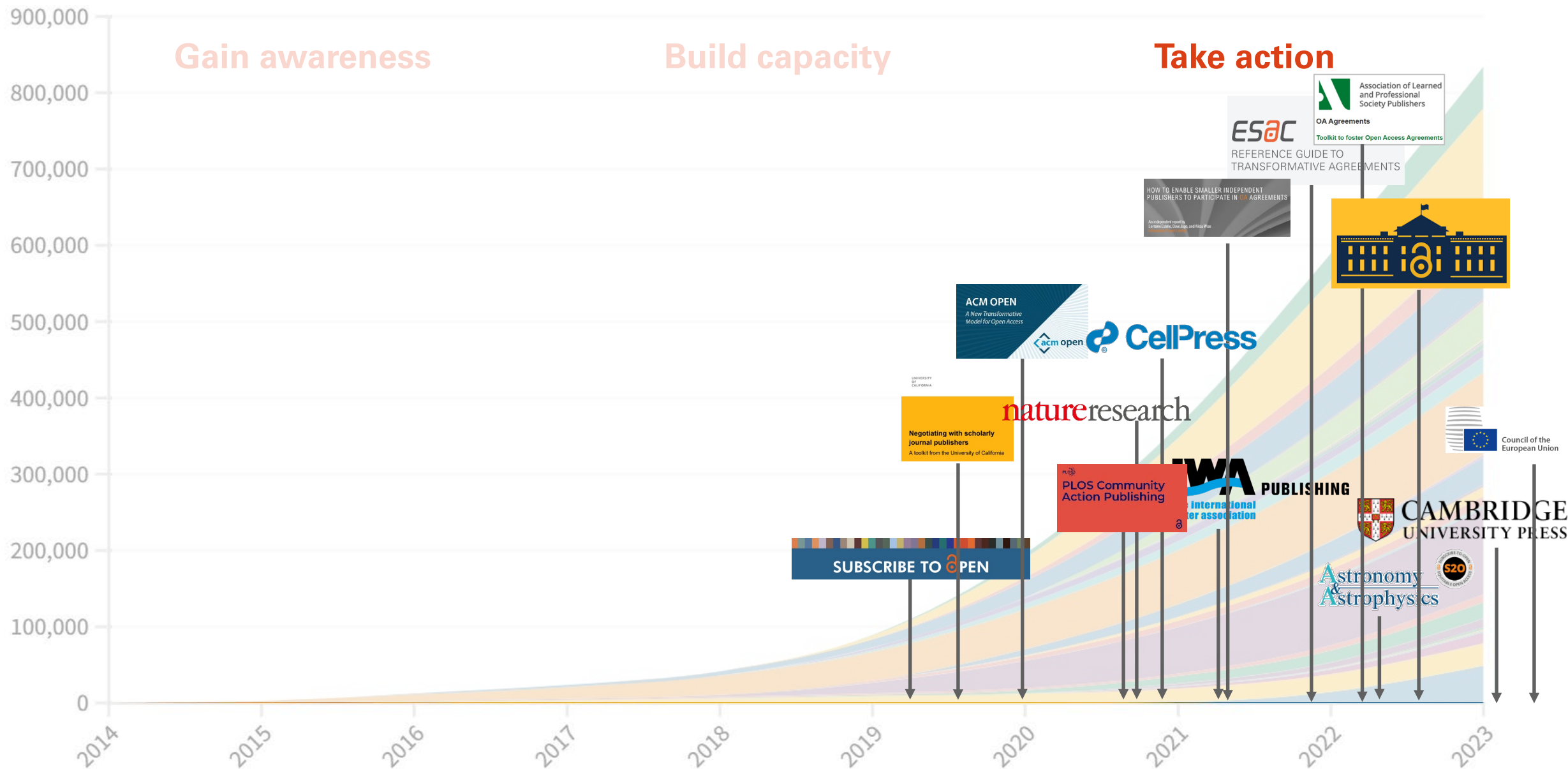
# Resources, toolkits



# Policy: OSTP Public Access Memo



# Policy: European Council Conclusions

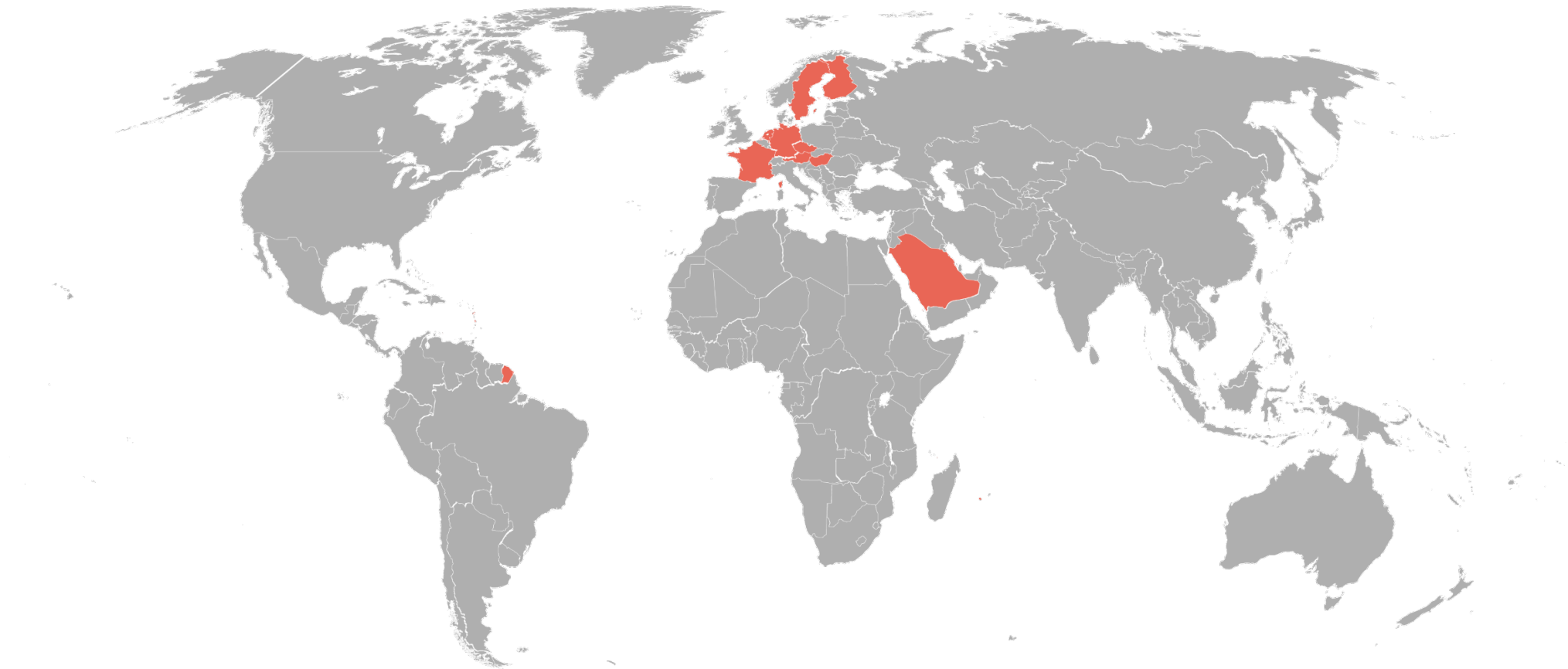






# Geographic distribution of TAs

2018



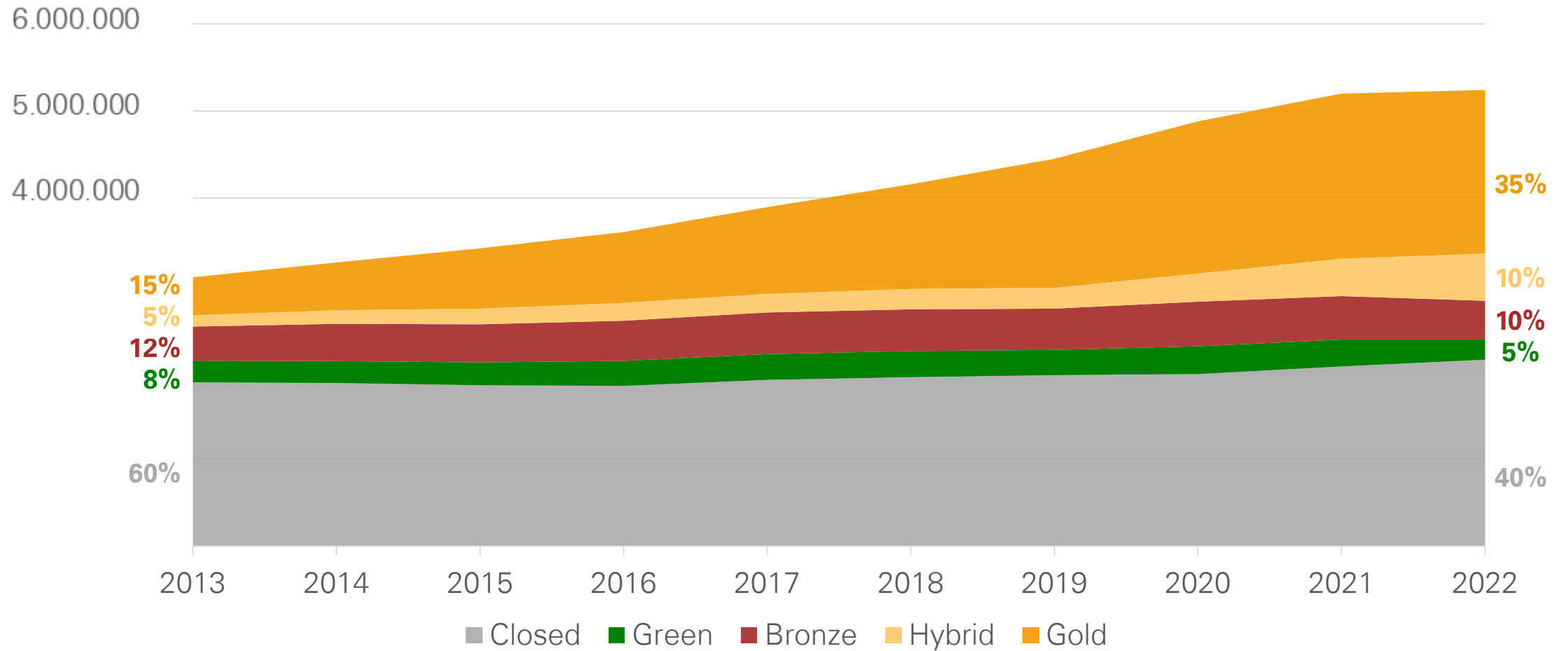
Source: Countries with transformative agreements listed in the ESAC Registry





# Publishing landscape

Number of articles by publishing model

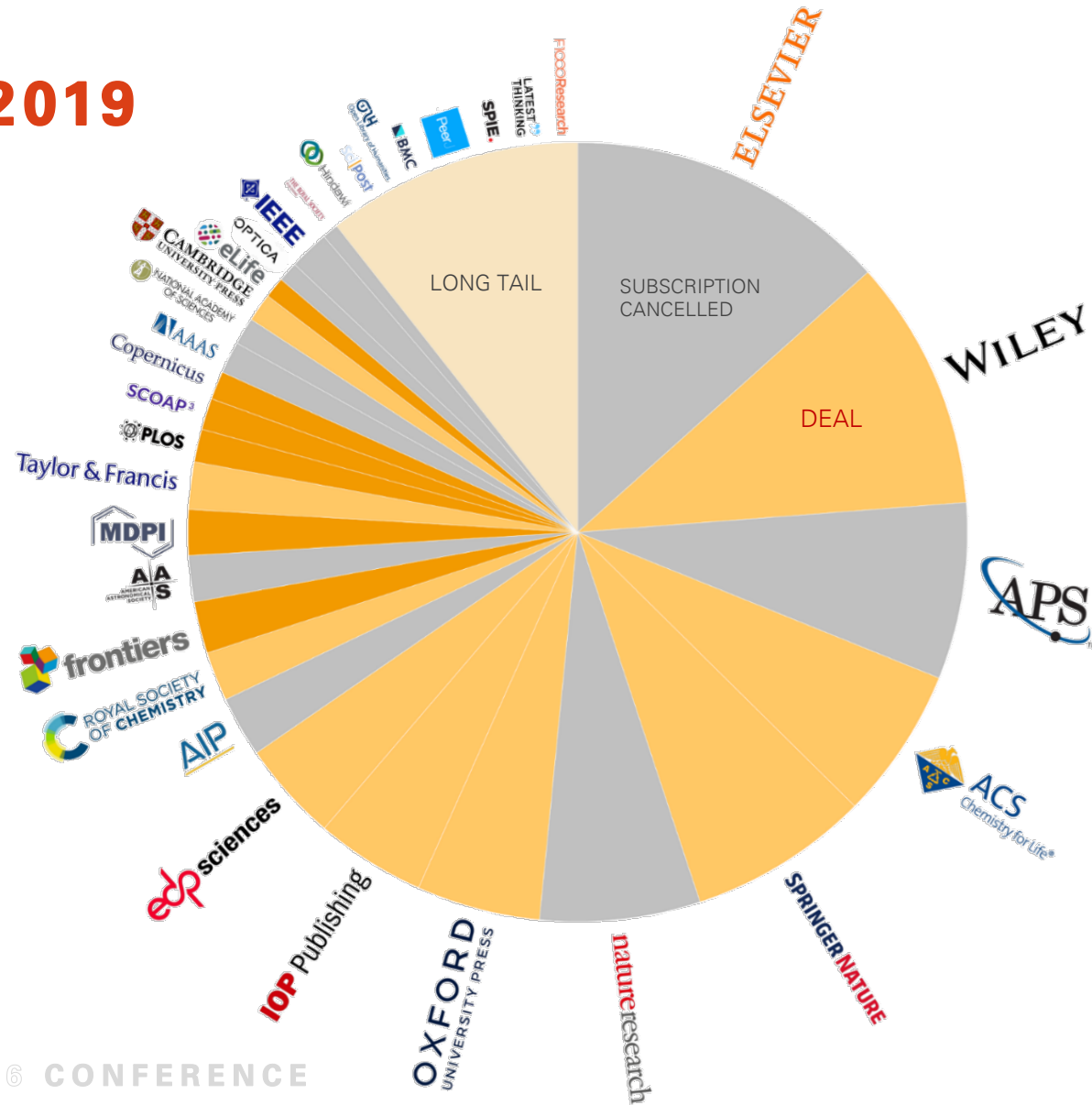


Source: Dimensions



# Open Access transition in the Max Planck Society

2019



By 2019, we had established agreements with OUP, CUP, Sage, EDP Sciences, and through the nationwide DEAL agreement, with Wiley

- subscription publisher
- open access publisher
- transformative agreement

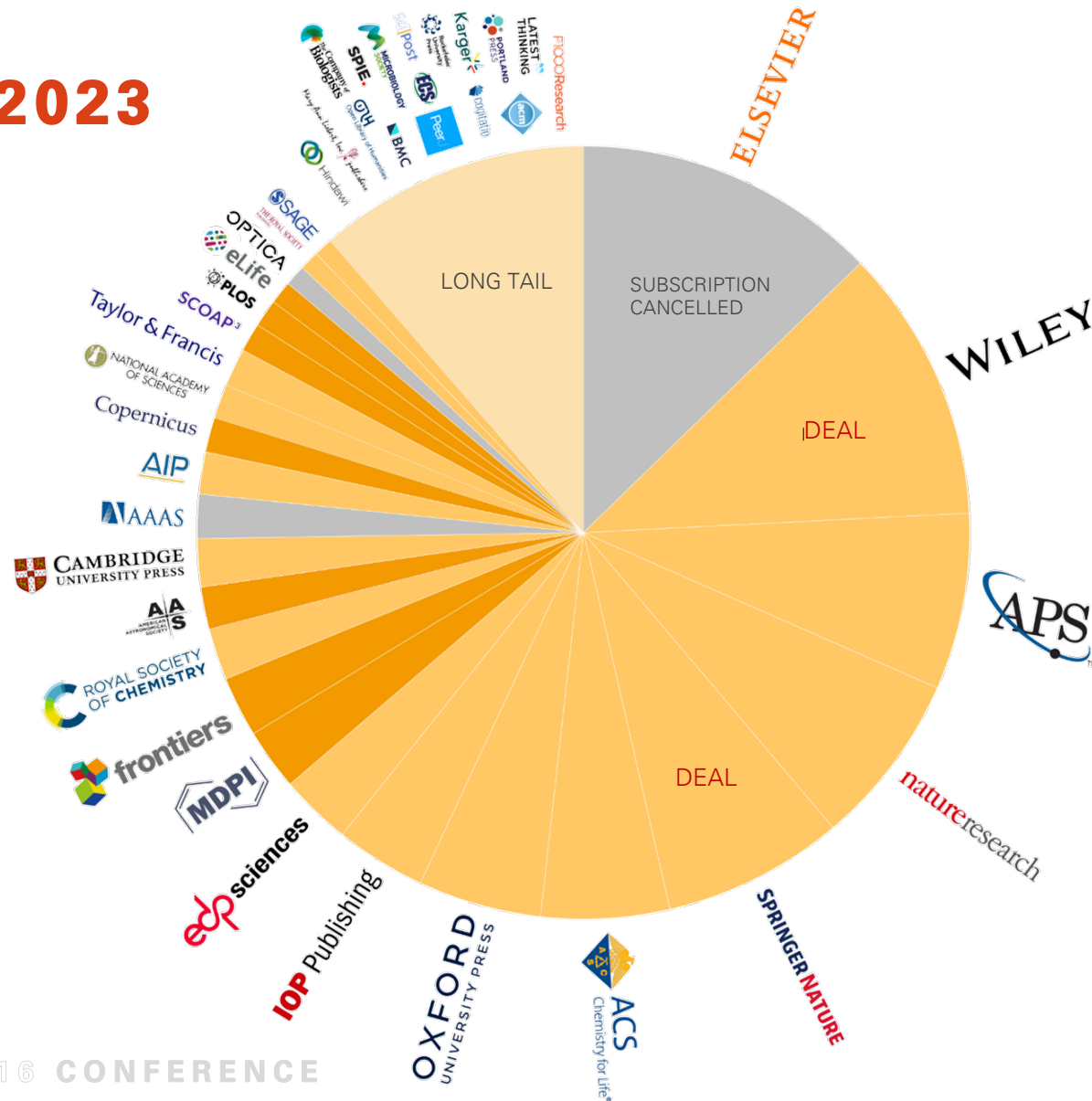






# Open Access transition in the Max Planck Society

2023



With the recent agreement with PNAS, as well as the flipping of AAS, Max Planck authors can publish the vast majority of their papers in Open Access, without individual costs

Current status:

23 Transformative Agreements  
 10 agreements with fully OA publishers with central invoicing  
 = ca. 10.000 journals covered

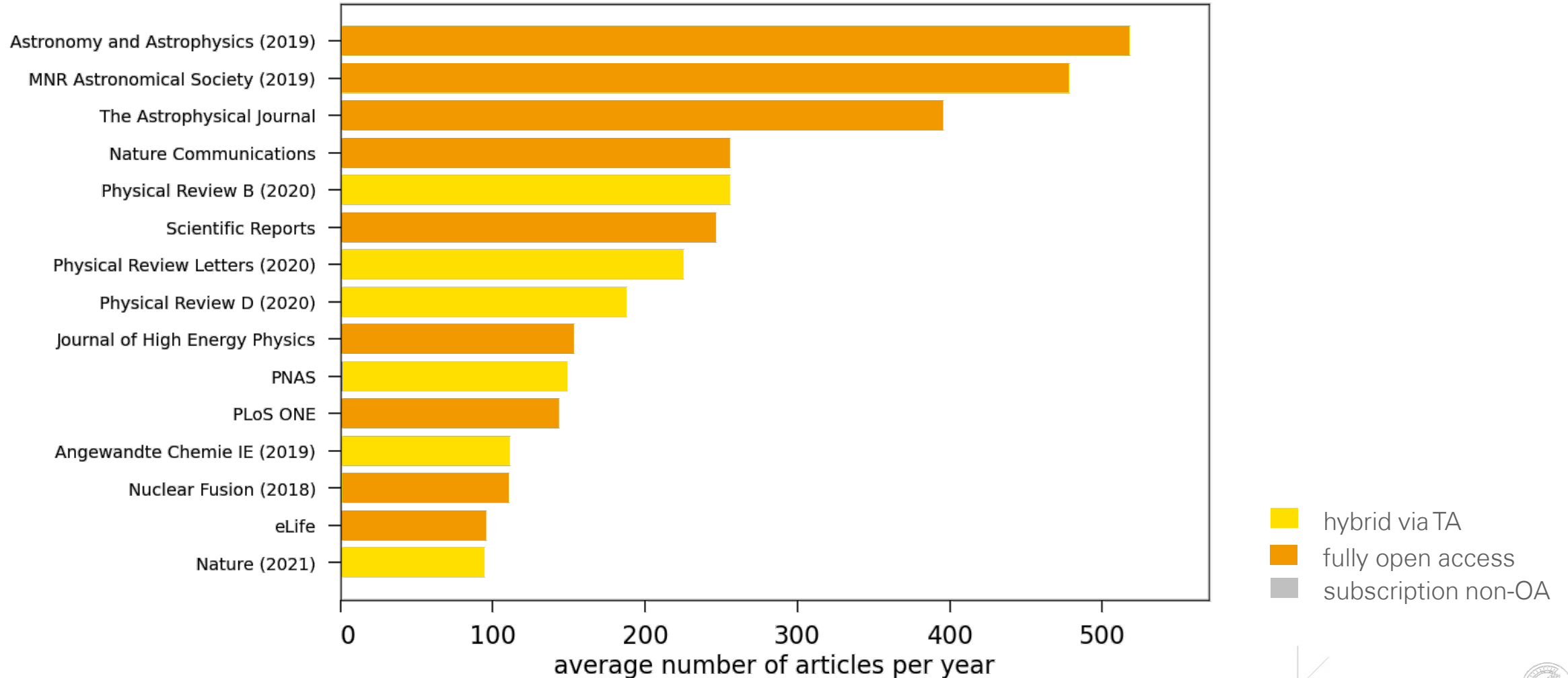
<https://oapublishing.mpdl.mpg.de/journals/>

- subscription publisher
- open access publisher
- transformative agreement

# OA transition in the Max Planck Society

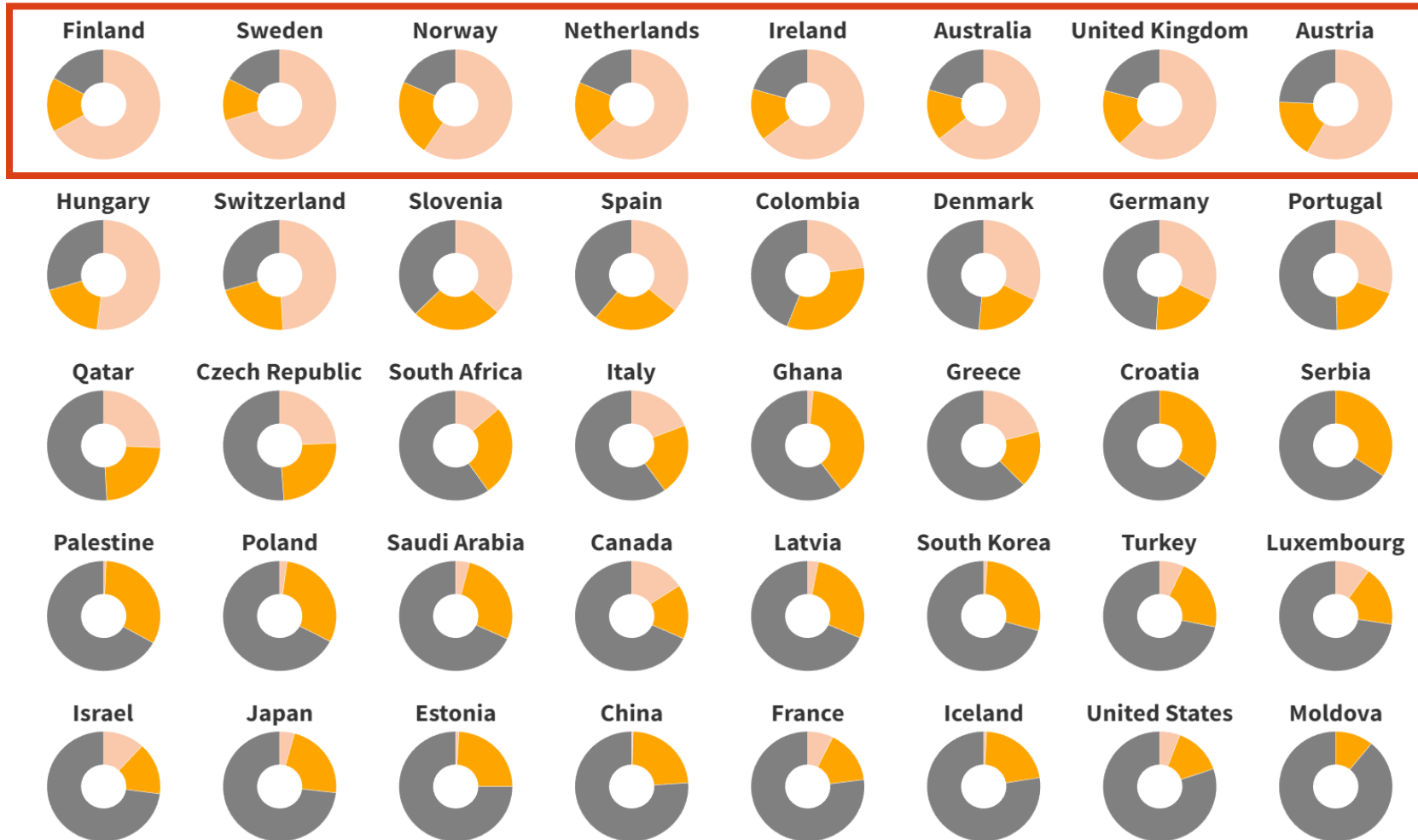
## MPG - Top Journals

top 15 journals ranked by number of publications 2015-2021



# Country overview – ESAC Market Watch

■ Transformative agreement 
 ■ Fully OA journal 
 ■ Hybrid/Closed



Article output per country by publication model. Source: [ESAC Market Watch](#)

## SESSION 1&2

# Transformative agreements: progress and innovations, assessments and next steps

### Speakers

Susanne Aerni      CONSORTIUM OF SWISS ACADEMIC LIBRARIES, SWITZERLAND

Rita Pinhasi      VIENNA UNIVERSITY LIBRARY, AUSTRIA

Mathew Willmott      CALIFORNIA DIGITAL LIBRARY, USA

# Transformation drivers

## HOW TRANSFORMATIVE IS IT?

A spectrum of transformation drivers leading to an open scholarly publishing paradigm



[ESAC Reference Guide to Transformative Agreements – How Transformative Is It? spectrum](#)



# Transformation drivers



# Where we started

OA publishing via author payments



# Transformation drivers





# Transformation drivers



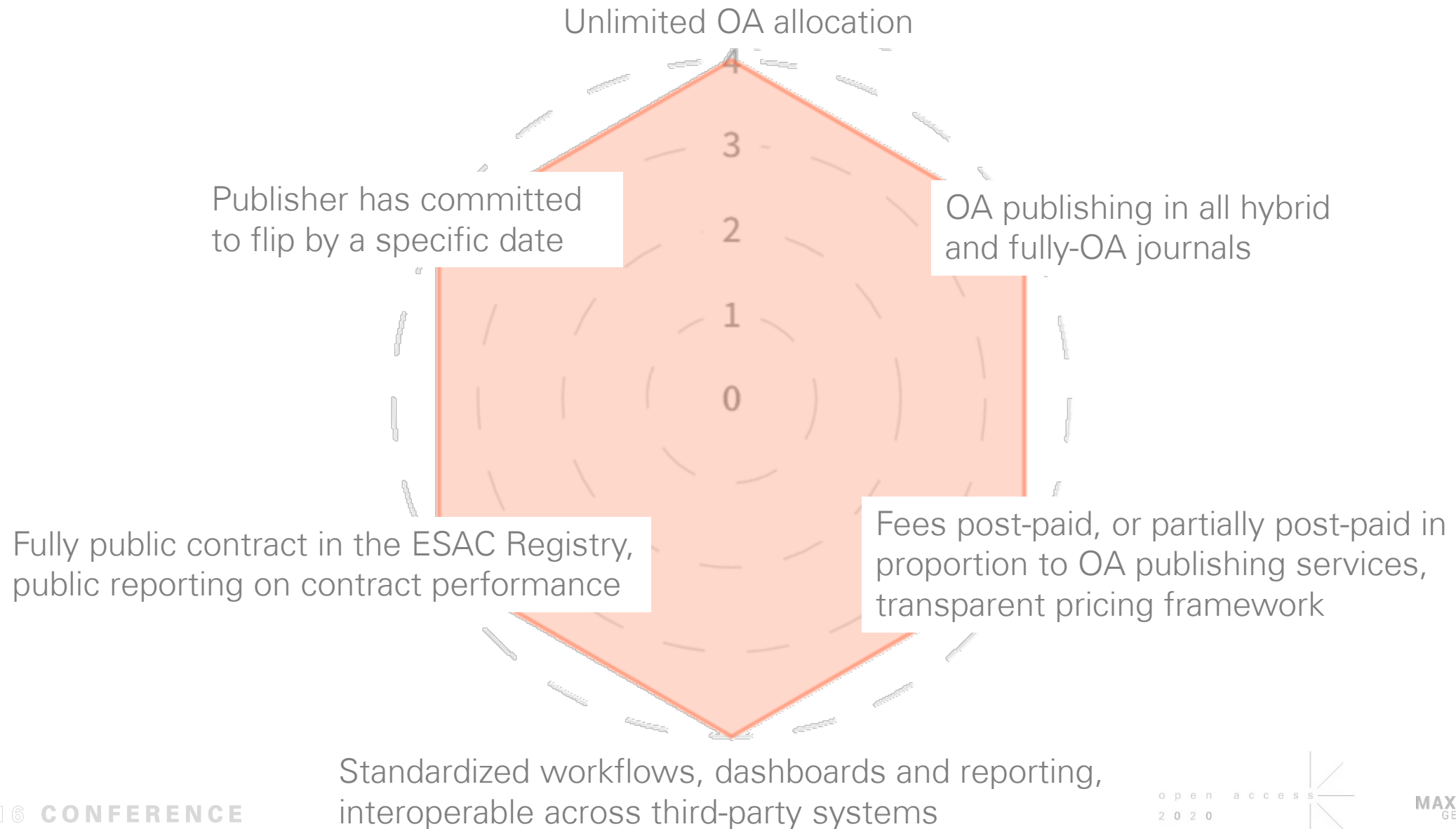
# Transformation drivers



# Transformation drivers



# Where we are going



# Transformation drivers - progress and assessment

## Elsevier



## Springer Nature



## Wiley



Survey and analysis to be published on the [ESAC Website](#)



# Transformation drivers - progress and assessment

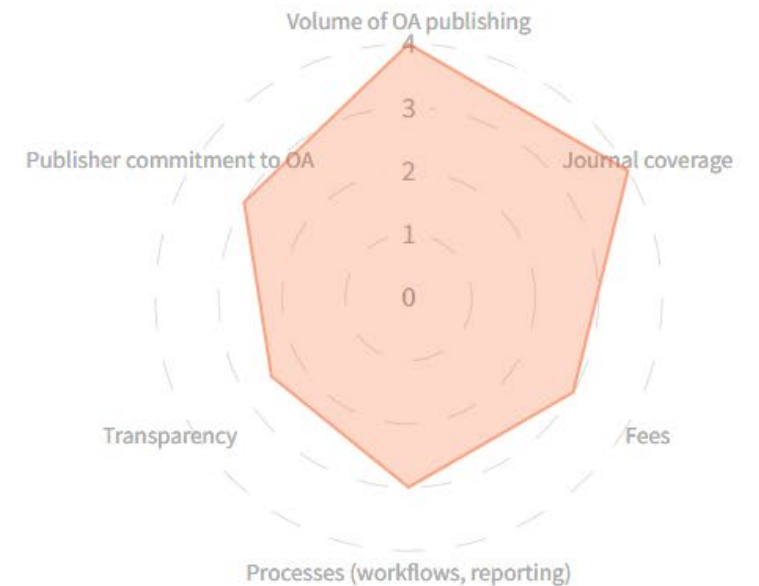
## Royal Society of Chemistry



## Cambridge University Press



## Association for Computing Machinery



Survey and analysis to be published on the [ESAC Website](#)

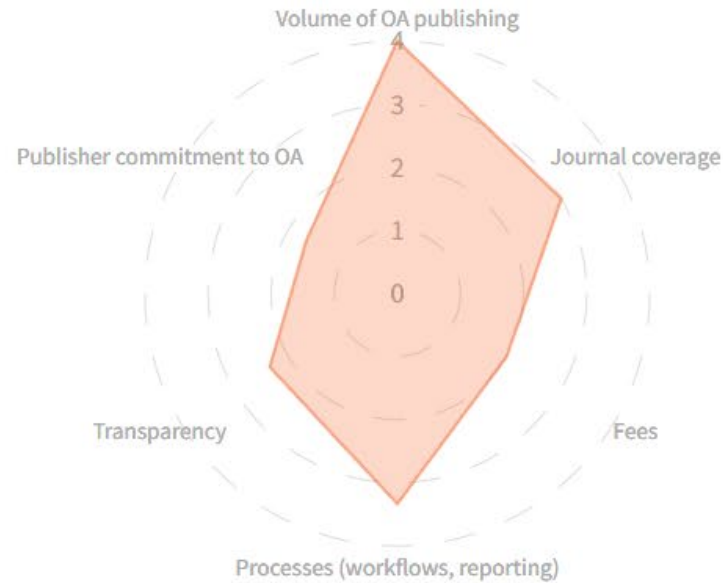


# Transformation drivers - progress and assessment

## Taylor & Francis



## Sage



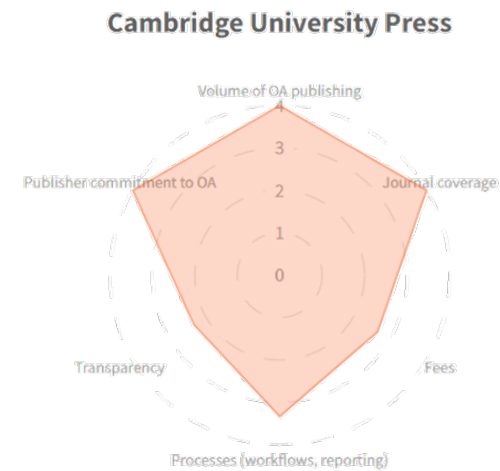
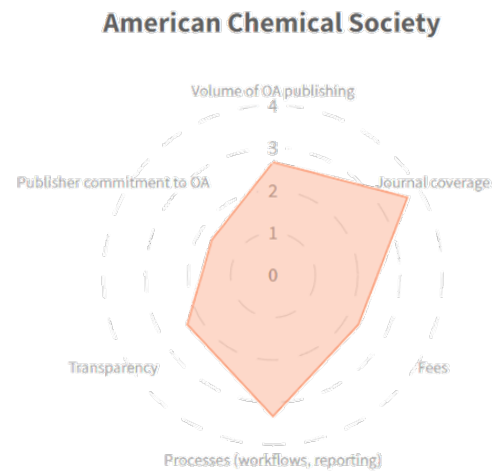
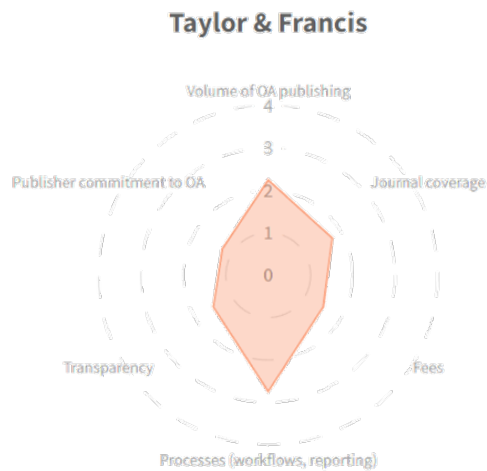
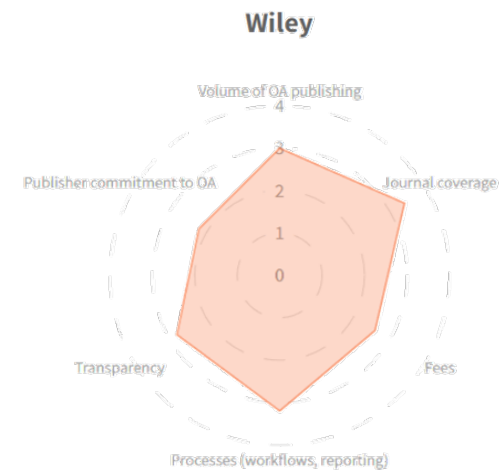
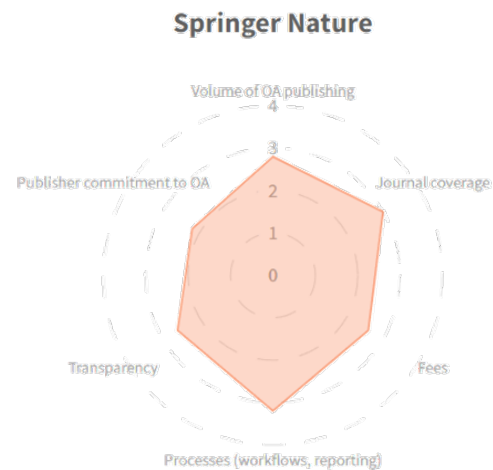
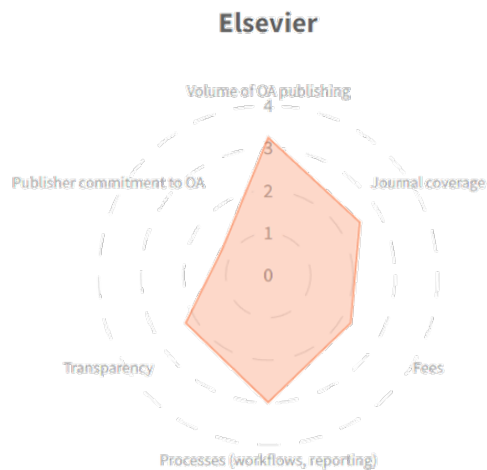
## IEEE



Survey and analysis to be published on the [ESAC Website](#)



# Transformation drivers - progress and assessment

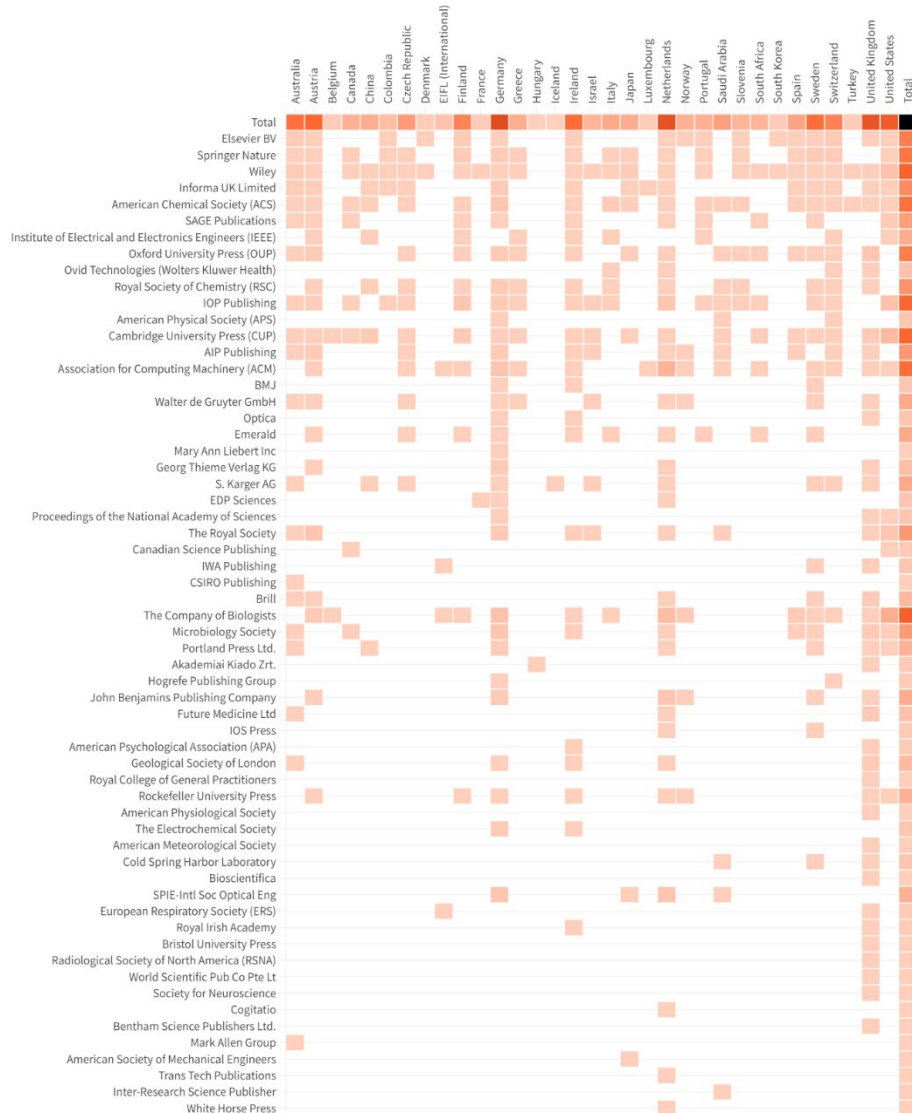


Survey and analysis to be published on the [ESAC Website](#)





# ESAC Registry - Matrix

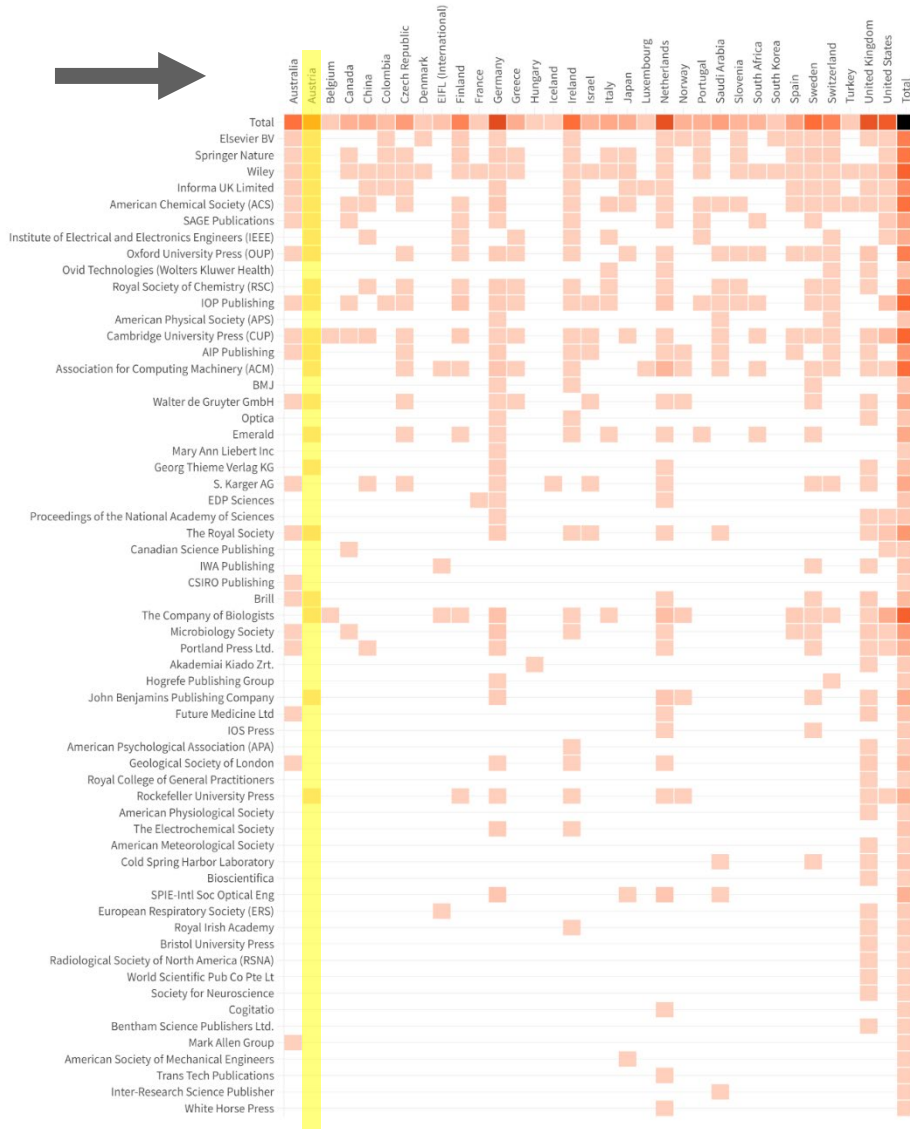


Interactive, graphical representation of the agreements submitted to the ESAC Registry

Each square represents a single agreement. In case of multiple agreements, the shade of the squares get darker



# ESAC Registry - Matrix



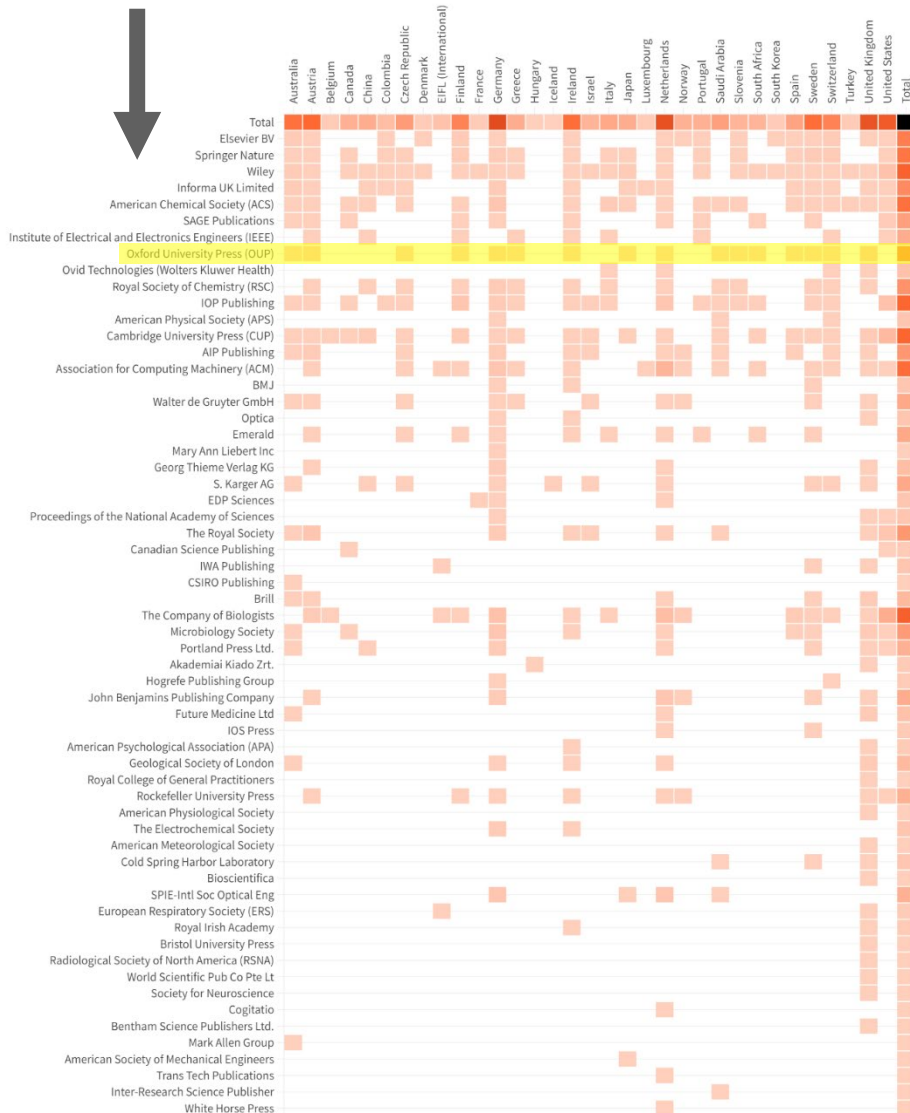
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Countries listed on the horizontal axis in alphabetical order



# ESAC Registry - Matrix



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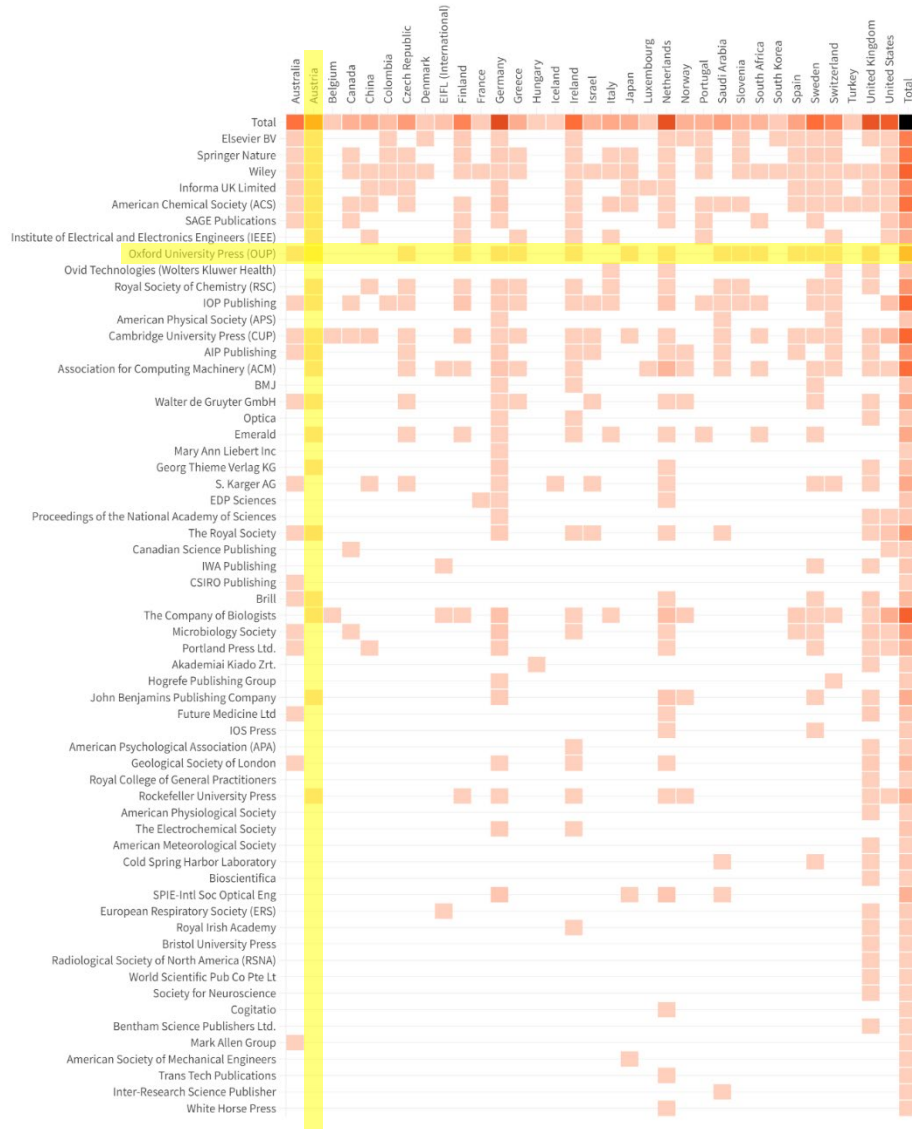
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Publishers listed on the vertical axis based on size



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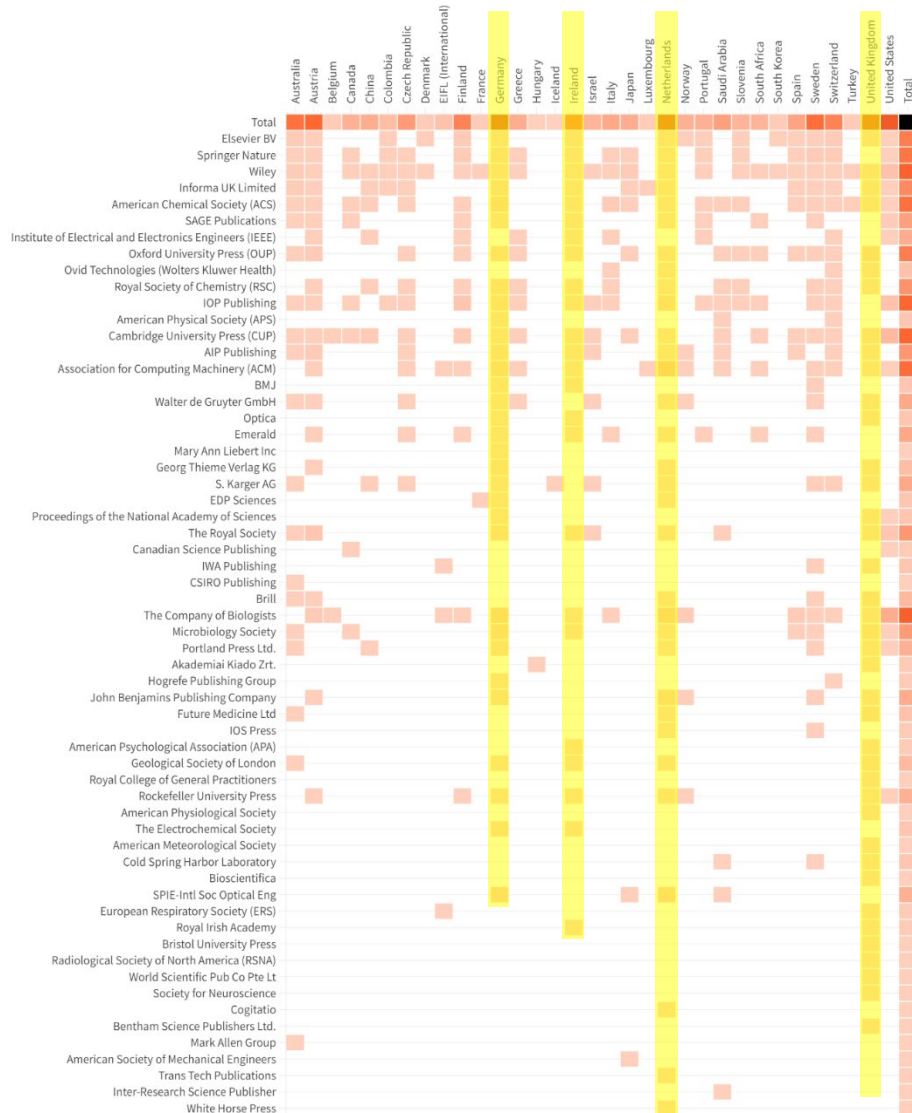
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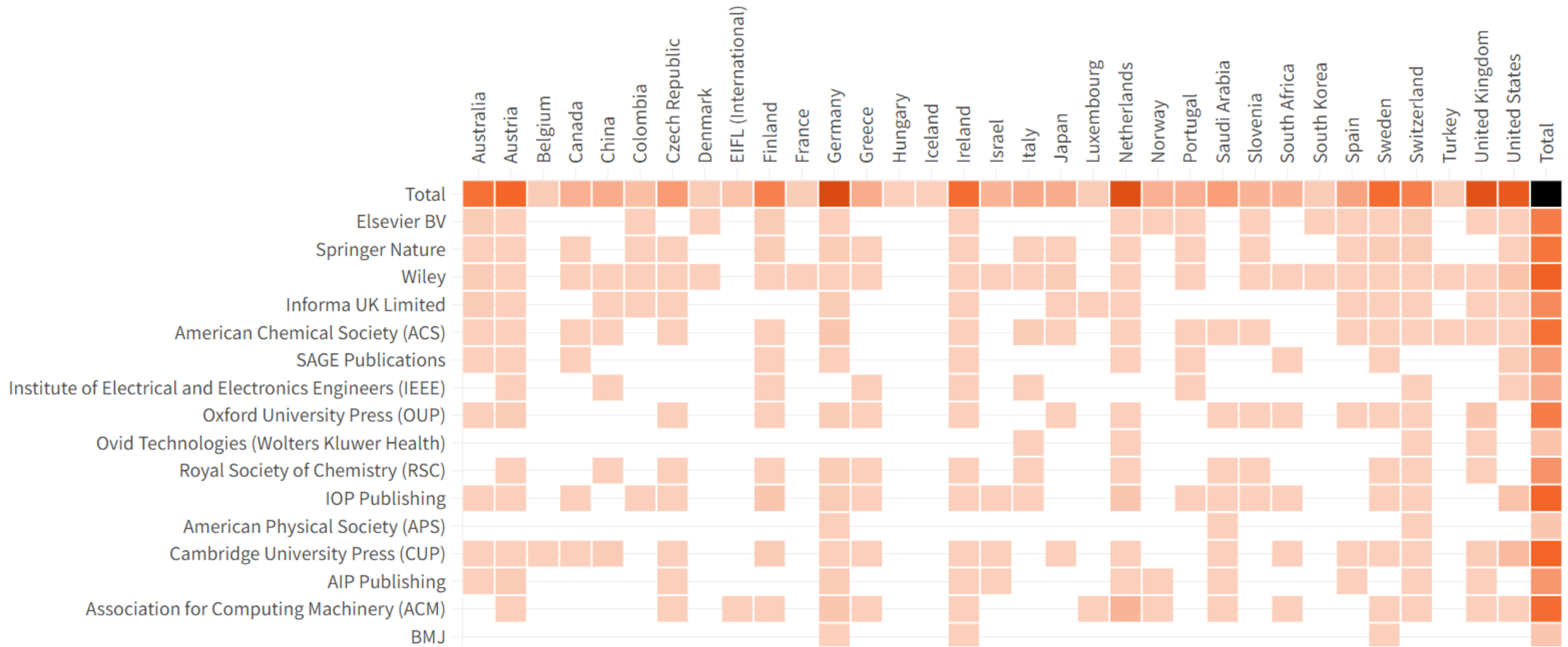
Countries listed on the horizontal axis in alphabetical order

Publishers listed on the vertical axis based on size

Institutions and consortia signing agreements with small and medium size publishers



# ESAC Registry - Matrix





# ESAC Registry - Matrix

	Australia	Austria	Belgium	Canada	China	Colombia	Czech Republic	Denmark	EIFL (International)	Finland	France	Germany	Greece	Hungary	Iceland	Ireland	Israel	Italy	Japan	Luxembourg	Netherlands	Norway	Portugal	Saudi Arabia	Slovenia	South Africa	South Korea	Spain	Sweden	Switzerland	Turkey	United Kingdom	United States	Total	
Total	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■
Elsevier BV	■	■				■		■		■		■				■					■														■
Springer Nature	■	■		■		■	■			■		■	■			■		■	■				■					■	■						■
Wiley	■	■		■	■	■	■	■		■	■	■	■			■	■	■	■				■			■	■	■							■
Informa UK Limited	■	■				■	■					■				■				■															■
American Chemical Society (ACS)	■	■		■	■		■			■		■				■		■	■				■	■	■			■	■						■
SAGE Publications	■	■		■						■		■				■							■			■									■
Institute of Electrical and Electronics Engineers (IEEE)	■	■		■	■					■		■	■			■	■	■				■			■										■
Oxford University Press (OUP)	■	■					■			■		■	■			■			■				■	■	■			■	■						■
Ovid Technologies (Wolters Kluwer Health)	■	■																■				■													■
Royal Society of Chemistry (RSC)		■			■		■			■		■	■			■		■				■		■	■				■	■					■
IOP Publishing	■	■		■		■	■			■		■	■			■	■	■				■		■		■									■
American Physical Society (APS)	■	■										■												■											■
Cambridge University Press (CUP)	■	■	■	■	■		■			■		■	■			■	■			■			■			■			■	■					■
AIP Publishing	■	■					■					■				■	■					■	■	■					■	■					■
Association for Computing Machinery (ACM)		■					■		■	■		■	■			■				■	■	■		■		■									■
BMJ												■				■								■							■				■



# TOGETHER FOR TRANSFORMATION

## 16th Berlin Open Access Conference

